



23104250

QP CODE: 23104250

Reg No :

Name :

**B.COM DEGREE (CBCS) REGULAR / IMPROVEMENT / REAPPEARANCE
EXAMINATIONS, JANUARY 2023**

Third Semester

Core Course - CO3CRT09 - FINANCIAL MARKETS AND OPERATIONS

(Common to all B.Com Degree Programmes)

For Regular Candidates : 2017 Admission Onwards

For Private Candidates : 2021 Admission Only

2E0C2365

Time: 3 Hours

Max. Marks : 80

Instructions to Private candidates only: This question paper contains **two** sections. Answer **SECTION I** questions in the answer-book provided. **SECTION II**, Internal examination questions must be answered in the question paper itself. Follow the detailed instructions given under **SECTION II**

SECTION I

Part A

Answer any **ten** questions.

Each question carries **2** marks.

1. Write a note on financial services.
2. What do you understand from 'Gilt Edged Security'?
3. Make a brief note on any two money market instruments.
4. What is meant by ASBA?
5. Briefly explain how the issue price is calculated in qualified institutional placement?
6. What do you mean by Secondary Market?
7. What is 'Stop loss' order?
8. What is a Depository?
9. What is Index ETF? Give an example.
10. "The growth of mutual fund investment solely depends on the capabilities of the fund managers". Evaluate the statement.



11. What is meant by 'Underlying Asset' of a derivative?
12. What do you understand by 'Stock Index Futures'?

(10×2=20)

Part B

*Answer any **six** questions.*

*Each question carries **5** marks.*

13. Make a note on recent developments in Indian financial system.
14. Critically analyse the role of RBI in Indian money market.
15. Write a note on the role of underwriters in public issue.
16. What are the eligibility norms applicable for stock brokers to get certificate of registration from SEBI?
17. What is meant by sectoral indices? How is it different from broad market indices?
18. Which are the parties involved in mutual fund schemes? Explain each of them.
19. Write a note on growth funds.
20. State the differences between Forwards and Futures.
21. Make a note on the following:
 - a) MCX
 - b) NCDEX
 - c) ICEX

(6×5=30)

Part C

*Answer any **two** questions.*

*Each question carries **15** marks.*

22. "SEBI is the watchdog of Indian capital market". Comment.
23. Describe various methods of New Issue.
24. Explain the different speculators in the secondary market.
25. Briefly explain the role of Trustees in the Constitution and Management of Mutual Funds in India.

(2×15=30)