

# **A DFT STUDY ON THE EFFECT OF ELECTRON DONOR AND ACCEPTOR SUBSTITUTION ON QUINOLINE RING**

**Project Report Submitted To  
MAHATMA GANDHI UNIVERSITY  
In Partial Fulfilment of the Requirement for the Degree of  
Master of  
Science in Chemistry**



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### **CERTIFICATE**

I certify that this is the bona fide record of the project work entitled “ **A DFT STUDY ON THE EFFECT OF ELECTRON DONOR AND ACCEPTOR SUBSTITUTION ON QUINOLINE RING**” carried out by **ANU VARGHESE Reg. No.200011010678**, under the guidance in partial fulfilment of the requirement for the Degree of Master of Science in chemistry of Mahatma Gandhi University.

Forwarded by,

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## **DECLARATION**

I, ANU VARGHESE, do hereby declare that this project work entitled as “ **A DFT STUDY ON THE EFFECT OF ELECTRON DONOR AND ACCEPTOR SUBSTITUTION ON QUINOLINE RING**”, is exclusively a bonafide work done under the guidance of **Dr. RAJEEV T ULAHANNAN**, Department of physics, Pavanatma college , murickassery. I further declare that no part of this project has previously formed on the basis for the award of any degree , diploma and fellowship or any other similar title of recognition of any other university or similar institution.

MURICKASERRY

DATE

ANU VARGHESE

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Murickassery ,

DATE

ANU VARGHESE

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# CHAPTER 1

## INTRODUCTION

### 1.1) COMPUTATIONAL CHEMISTRY

Computational chemistry is a branch of chemistry that uses computer simulations to assist in solving chemical problems. It uses methods of theoretical chemistry, incorporated into efficient computer programmes, to calculate the structures and properties of molecules and solids. These are also called molecular modeling, is a set of technique for investigating chemical problems using a computer or application of computational methods and algorithms in chemistry. Thus computational chemistry is concerned with performing computer calculation and simultaneous for solving problems in physics and chemistry especially in the area of molecules. It is different from theoretical chemistry. Computational chemistry methods range from very approximate to highly accurate. Computational chemistry uses mathematical methods that are well developed. These methods are automated as computer programs. In theoretical chemistry, chemists, physicists and mathematicians develop algorithm and computers programs to predict atomic and molecular properties and reactions paths for chemical reactions. Computational chemists, in contrast may simply apply existing computer programs and methodologies to answer specific chemical questions .

Definition of computational chemistry

These are two different aspects to computational chemistry

- Computational studies can be carried out to find a starting point for a laboratory synthesis, or to assist in understanding experimental data, such as the position and source of spectroscopic peaks.
- Computational studies can be used to predict the possibility of molecules not yet discovered or to explore reaction mechanism that are difficult to study in chemical experiment.

So, computational chemistry can assist experimental chemists. It also can challenge experimental chemists to find entirely new chemical objects.

Computational chemistry has five major parts,

- The prediction molecule structure by the use of the simulation of forces, or more accurate quantum chemical methods, to find stationary points on the energy surface as the position of the nuclei are varied.
- Computational approaches to help in the efficient synthesis of compounds.
- Computational approaches to design molecules that interact in specific ways with other molecules (for example, drug design and catalysis). Storing and searching for data on chemical entities.
- Identifying correlations between chemical structures and properties.
- Storing and searching for data on chemical entities

## 1.2) HISTORY

Building on the founding discoveries and theories in the history of quantum mechanics, the first theoretical calculations in chemistry were those of Walter Heitler and Fritz London in 1927. The books that were influential in the early development of computational quantum chemistry include Linus Pauling and E. Bright Wilson's 1935 Introduction to Quantum Mechanics – with Applications to Chemistry, Eyring, Walter and Kimball's 1944 Quantum Chemistry, Heitler's 1945 Elementary Wave Mechanics – with Applications to Quantum Chemistry, and later Coulson's 1952 textbook Valence, each of which served as primary references for chemists in the decades to follow.

The development of efficient computer technology in the 1940s made calculating elaborate wave equation for complex atomic. In the early 1950s, the first semi-empirical atomic orbital calculations were performed. Theoretical chemists became extensive users of the early digital computers. One major advance came with the 1951 paper in Reviews of Modern Physics by Clemens C. J. Roothaan in 1951, largely on the "LCAO - MO" approach (Linear Combination of Atomic Orbitals Molecular Orbitals), for many years the second-most cited paper in that journal. A very detailed account of such use in the United Kingdom is given by Smith and Sutcliffe. The first ab initio Hartree-fock orbitals calculations on diatomic molecules were performed in 1956 at MIT, using a basis set of Slater orbital. For diatomic molecules, a systematic study using a minimum basis set and the first calculation with a larger basis set were published by Ransil and Nesbet respectively in 1960. The first polyatomic calculations using Gaussian orbitals were performed in the late 1950s. The first configurational interactions calculations were performed in Cambridge on the EDSAC computer in the 1950s using

Gaussian orbitals by Boys and co-workers. By 1971, when a bibliography of *ab initio* calculations was published, the largest molecules included were naphthalene and azulene. Abstracts of many earlier developments in *ab initio* theory have been published by Schaefer .

In 1964, Huckel method calculations (using a simple Linear combination of atomic orbitals (LCAO) method to determine electron energies of molecular orbitals of  $\pi$  electrons in conjugated hydrocarbon systems) of molecules, ranging in complexity from butadiene and benzene to ovalene, were generated on computers at Berkeley and Oxford. These empirical methods were replaced in the 1960s by semi empirical method such as CNDO.

In the early 1970s, efficient *ab initio* computer programs such as ATMOL, Gaussian, IBMOL, and POLYATOM, began to be used to speed *ab initio* calculations of molecular orbitals. Of these four programs, only Gaussian, now vastly expanded, is still in use, but many other programs are now in use. At the same time, the methods of molecular mechanics, such as MM2 force field, were developed, primarily by Norman Allinger.

One of the first mentions of the term computational chemistry can be found in the 1970 book *Computers and Their Role in the Physical Sciences* by Sidney Fernbach and Abraham Haskell Taub, where they state "It seems, therefore, that 'computational chemistry' can finally be more and more of a reality. During the 1970s, widely different methods began to be seen as part of a new emerging discipline of computational chemistry. The journal of computational chemistry was first published in 1980.

Computational chemistry has featured in several Nobel Prize awards, most notably in 1998 and 2013. Walter Kohn, "for his development of the density-functional theory", and John Pople, "for his development of computational methods in quantum chemistry", received the 1998 Nobel Prize in Chemistry. Martin Karplus, Michael Levitt and Ariel Warshel received the 2013 Nobel Prize in Chemistry for "the development of multiscale models for complex chemical systems".

The most important computer soft ware's used for computational chemistry include,

Abalone, Ball, Dalton, GAMESS, HARTON, AMPAC, Exc code, Gaussian, Jaguar, Avogadro, CASINO, Firefly, GHEMICAL, Khimera, MOLICAS, Nwchem, ONETEP, PARSEC, Q-Chem, Rubychem, Spartan, YAMBO Code .



Using computational chemistry software we can in particular perform

- Electronic structure determination
- Geometry optimization
- Frequency calculation
- Definition of transition structure and reaction paths
- Protein calculation
- Electron and charge distribution calculations
- Calculation of potential energy surfaces
- Calculation of rate constant for chemical reactions
- Thermodynamic calculations-heat of reaction, energy of activation

### **1.3) FIELDS OF APPLICATION**

- Computational studies can be carried out to find a starting point for a laboratory synthesis, or to assist in understanding experimental data, such as the position and source of spectroscopic peaks.
- Computational studies can be used to predict the possibility of so far entirely unknown molecules or to explore reaction mechanisms that are not readily studied by experimental means.
- Thus computational chemistry can assist the experimental chemist or it can challenge the experimental chemist to find entirely new chemical objects.
- Several major areas may be distinguished within computational chemistry.
- The prediction of the molecular structure of molecules by the use of the simulation of forces, or more accurate quantum chemical methods, to find stationary points on the energy surface as the position of the nuclei is varied.
- Storing and searching for data on chemical entities.
- Identifying correlations between chemical structures and properties.
- Computational approaches to help in the efficient synthesis of compounds.
- Computational approaches to design molecules that interact in specific ways with other molecules (eg : drug design and catalysis).

## 1.4) TOOLS OF COMPUTATIONAL CHEMISTRY

### i. Ab- Initio calculations

Ab-Initio a Latin word means from the first principle – calculations are based on the Schrodinger wave equation which describes how the electrons in atoms and molecules behave. The Ab- Initio method solves the Schrodinger equation for a molecule and gives as an energy (E) and wave function ( $\Psi$ ) of the molecule. The wave function ( $\Psi$ ) is a mathematical function that can be used to calculate the electron distribution. From the electron distribution, we can identify the polar nature of molecule and electrophilic and nucleophilic cytes etc .

Ab- Initio is useful in calculating the molecular geometries, energies, vibrational frequencies, spectra, ionization potentials, electron affinities etc. The simplest kin of Ab- Initio calculation is Hartree- Fock method. Ab-Initio calculation is slow. It can deals with systems having  $\approx 100$  atoms.

#### Features:

- Uses quantum physics.
- Mathematically rigorous, no empirical parameter.
- Uses approximation extensively.

#### Advantages:

- Useful for a broad range of systems.
- Does not depend on experimental data.
- Capable of calculating transition states and excited states.

#### Disadvantages:

- Computationally expensive.

#### Best for:

- Small systems (tens of atoms).
- System involving electronic transitions.
- Molecules or systems without available experimental data (“new” chemistry).
- Systems requiring rigorous accuracy.

### ii. Semi-Empirical calculations [SE calculations]

SE calculations based on Schrodinger – wave equation. However more approximations are made in solving it, and the very complicated Integrals (that must be calculated in the Ab-

Initio are not actually evaluated in the method. Instead the program consist a kind of “library of integrals”) that are combined to the experimental value. This plugging of the experimental values into mathematical procedures to get the best calculated values is called parameterization.

It is a mix of theory and experiment that makes this method Semi-Empirical. SE calculations are much faster than Ab-Initio and DFT calculations. But slower than Molecular Mechanics. It deals with  $\approx 10000$  atoms containing big systems.

**Features:**

- Uses quantum physics.
- Uses experimentally derived empirical parameters.
- Uses approximation extensively.

**Advantages:**

- Less demanding computationally than Ab-Initio method.
- Capable of calculating transition states and excited states.

**Disadvantages:**

- Requires experimental data (or data from ab-initio for parameters).
- Less rigorous than (ab-initio) methods.

**Best for:**

- Medium sized systems (hundreds of atoms).
- Systems involving electronic transitions.

**iii. Molecular Mechanics**

It is based on a model of molecular as a collection of balls held together by springs. The balls for atoms and the springs are bond between them, and the Energy needed to stretch and bend the springs is evaluated. We can calculate the energy of a given collection of a balls and springs ie, for a given molecule.

Now we can change the geometry until the lowest energy is found. Thus enable us to do the geometry optimization ie, to calculate the geometry of the molecule. Molecular Mechanics is a very fast method and fairly large molecules like steroids or cholesterol can be optimized in seconds. It can deals with systems having 1 lakh Atoms .

**Features:**

- Uses classical physics.
- Relies on force field with embedded parameters.

**Advantages:**

- Can be used for molecules as large as enzymes.
- Computationally least intensive fast and useful with limited computer resources.

**Disadvantages:**

- Particular force field applicable only for a limited class of molecules.
- Does not calculate electronic properties.
- Requires experimental data (or data from ab-initio) for parameters.

**Best for:**

- Large systems (1000 of atoms)
- Systems processes with no breaking or forming of bond.

**iv. Molecular Dynamics**

The calculation applies the laws of motion to the molecules. Thus one can stimulate the motion of an enzyme as it changes shape on binding to a substrate or motion of a swarm of water molecules around a molecule of solute. Thus very large molecules approximately one lakh can be studied only with MM and MD.

Thus very large molecules can be studied only with molecular mechanics, because other methods (quantum mechanical methods, based on Schrodinger equation; Semi-empirical, ab-initio and OFT) would take too long. Novel molecules, with unusual structures, are best investigating with ab-initio or possibly DFT calculations. Since the parameterization inherent in MM or SE methods make them unreliable for molecules, which are very different from those used in the parameterization. [ Ramachandran, *et.al* , 2008 ]

## 1.5) DENSITY FUNCTIONAL THEORY

Density functional theory calculations on the molecules is based on two theorem published by Hohenberg and Kohn in 1964, that is called Kohn-Sham approach. DFT calculations are usually faster than Ab-Intio and it deals with system around 1000 atoms. The only errors are due to approximation in the functional. In DFT, the total energy is expressed in terms of the total one-electron-density rather than the wave function. In this type of calculation, there is an approximate Hamiltonian and an approximate expression for the total electron density. DFT methods can be very accurate for little computational cost. Some methods combine the density functional exchange functional with the Hartree–Fock exchange term and are termed hybrid functional methods .

Density functional theory is ultimately derived from quantum mechanics from 1920's. Especially the Thomas Fermi- Dirac model and the Slater's fundamental work in quantum chemistry. The DFT approach is based upon a strategy of modeling electron correlation via general functional of the electron density. It does not calculate a wave function but rather derive the electron distribution directly. [Arslan , *et.al*, 2007]

This method is good for calculation of geometrics of molecule and transition states, energies, vibration frequencies, dipole moment, charges and bond order and for calculating quantities relevant to thermodynamics and kinetics with accuracy comparable to mp<sub>2</sub> calculation in roughly the same time as needed for HF calculation.

The idea behind DFT is that the energy of a molecule can be determined from the electron density instead of a wave function. It was originated from a theorem by Hohenberg and Kohn which states that the ground state electronic energy is determined completely by the electron density  $\rho$ . In other words there exist a one-to-one correspondence between electron density of a system and the energy. It could not provide the exact nature of the functional by which  $\bar{e}$  density could be converted to energy. Modern DFT method is based on the suggestion by Kohn and Sham in 1965 that the electron kinetic energy should be calculated from an auxiliary set of orbital, Kohn –Sham orbital used for representing the  $\bar{e}$  density.

DFT includes  $\bar{e}$  correlation in its theoretical basis. DFT appears to be the method of choice for geometry and energy calculation on transition metal compounds, for which conventional ab-initio calculation often give poor result. It works with electron density which can be measured and is easily grasped rather than a wave function. The main problem associated with

DFT is the inability to systematically improve the result and the known failures to describe certain important features, such as vander wall's interaction.

DFT solved the equation  $H\Psi=E\Psi$  in a different way compared with ab-initio methods. Ab-initio method tries to obtain the Eigen wave function  $\Psi(r_1, r_2, \dots, r_n)$  of the system. The expectation value of a physical property in a particular state described by  $\Psi(r_1, r_2, \dots, r_n)$  is given by

$$\begin{aligned}\langle O \rangle &= \langle \Psi(r_1, r_2, \dots, r_n) | O | \Psi(r_1, r_2, \dots, r_n) \rangle \\ &= \int \Psi^*(r_1, r_2, \dots, r_n) O \Psi(r_1, r_2, \dots, r_n) dr_1, dr_2, \dots, dr_n.\end{aligned}$$

Generally O is the sum overall one and two particular interaction and thus

$$\begin{aligned}\langle O \rangle &= \int \Psi^*(r_1, r_2, \dots, r_n) F(1) \Psi(r_1, r_2, \dots, r_n) dr_1, dr_2, \dots, dr_n. \\ &\quad \int \Psi^*(r_1, r_2, \dots, r_n) G(1, 2) \Psi(r_1, r_2, \dots, r_n) dr_1, dr_2, \dots, dr_n. \\ &\quad \int F(1) \rho(r_1, r_2) + \int G(1, 2) \rho(r_1, r_2, r_1, r_2) dr_1, dr_2\end{aligned}$$

Where

$$\begin{aligned}\rho(r_1, r_2) &= n \int \Psi^*(r_1, r_2, \dots, r_n) F(1) \Psi(r_1, r_2, \dots, r_n) dr_2, \dots, dr_n \\ \rho(r_1, r_2, r_1, r_2) &= n(n-1) \int \Psi^*(r_1, r_2, \dots, r_n) F(1) \Psi(r_1, r_2, \dots, r_n) dr_3, \dots, dr_n\end{aligned}$$

Thus, the expectation value of any physical property can be expressed as a function of the electron density.

### 1.5.1) Electron Density

The square of the wave function. Total electron density due to  $N \bar{e}$  Can be defined as  $N$  times the integral of square of wave function over the spin coordinates of all  $\bar{e}$  and overall but one of the spatial variables.

In particular, the total energy can be written as,

$$E = \int V_{\text{ext}} \rho(r) dr + \int \Psi^*(T + V_{\text{ee}}) \Psi dr_1, \dots, dr_n = \int V_{\text{ext}} \rho(r) dr + F(\rho)$$

Where,

$$V_{\text{ext}} = \text{External potential}$$

$T =$  the kinetic operator

$V_{ee} =$  Two  $e^-$  interaction operator

$F(\rho) =$  Function of  $\rho$

Hohenberg and Kohn showed that non-degenerate state density  $\rho$  uniquely determined by  $V_{ext}$  or vice versa. By applying Hohenberg-Kohn variation principle to the above equation Kohn and Sham derived an exact single – particle self consistent equation similar to HF equation.

$$F_{KS} \phi(r) = \sum \phi(r)$$

Where

$$F_{KS} = -\frac{1}{2} \sum \nabla^2 \phi_i + V_{eff}$$

$$V_{eff} = V_{ext} + \int \rho(r) / r_{12} dr + V_{xc}$$

$$P(r) = \sum_i \nabla^2 |\phi_i(r)|^2$$

$V_{xc} =$  the exchange – correlation potential

The total energy of the system is given by

$$E = E_v + E_r(\rho(r)) + E_j(\rho(r))$$

Where

$$E_v \text{ is } \int V_{ext}(r) dr$$

$E_r$  is the kinetic energy of Independent electrons

$E_{xc}$  is the exchange correlation energy. Various DFT methods differ from each other in the way deal with  $E_{xc}(\rho)$ . In generalized gradient approximation (GGA). The total density approximation (LDA) approximates the  $E_{xc}(\rho)$  as

$$E_{xc} = \int \epsilon_{xc}[\rho(r)] \rho(r) dr$$

In practice  $E_{xc}$  is divided into two parts Exchange  $E_x$  and correlation  $E_c$ ,

$$E_{xc} = E_x + E_c$$

### 1.5.2) Basis set

A basis set in theoretical and computational chemistry is a set of functions (called basis functions) that is used to represent the electronic wave function in the Hartree-Fock method or density-functional method in order to turn the partial differential equations of the model into algebraic equations suitable for efficient implementation on a computer. It describes the shape of the orbital in an atom. Molecular orbital and entire wave functions are created by taking linear combination of basis function and angular functions. Thus the list of all basis function used in a calculation is called a basis set. . [ Krishnan, *etal*, 1980 ]

It is a set of basis functional building block that can be stacked or added to have the features that we need. By stacking in mathematics, we mean adding things possibly after multiplying each of them by its own constant.

$$\Psi = a_1\Phi_1 + a_2\Phi_2 + \dots + a_k \Phi_k \quad - (1)$$

$k$  = Size of basis set

$\Phi_1, \Phi_2, \dots, \Phi_k$  = Basis functions

$a_1, \dots, a_k$  = Normalizations constant

John c Slater who first termed to orbital computation using basis sets. The solution for Schrodinger equation for the Hydrogen atom and other one  $\bar{e}$  ions gives atomic orbitals which are a product of radial function and spherical harmonics or angular function. Strictly speaking atomic orbitals are the real solutions of the Hatree –Fock equations for the atom ie, wave functions for a single  $\bar{e}$  in the atom. Anything else is not really an atomic orbital function; hence these functions are named as “basis function” . [Jankowski, *et.al*, 1985 ]

The general expression for a basis function,

$$BF = Ne^{(-\alpha r)} \quad - (2)$$

$N$  = Normalization constant

$\alpha$  = Orbital exponent



$\gamma$  = Radius in angstroms

### 1.5.3) Kinds of Basis function

#### i. Slater type orbital (STO)

In the early days of quantum chemistry so-called Slater type orbitals (STOs) were used as basis functions due to their similarity with the eigen functions of the hydrogen atom. STO depend on quantum numbers  $n, l, m$  (principle quantum number, angular, magnetic quantum numbers) and  $\alpha$  (Slater orbital exponent). STO has the general functional form as,

$$\Psi_{\alpha, n, l, m}(r, \theta, \Phi) = N r^{n-1} e^{-\alpha r} Y_{lm} \quad -(3)$$

Where,

$r, \theta, \Phi$  = spherical coordinates

$Y_{lm}$  = angular momentum part

$\alpha = (Z-s/n)$ .  $s$  (screening constant)

However the STO's do not have radial nodes. Nodes in the radial part are introduced by making linear combination of atomic orbitals. The linear function can be expressed in exponential form which describes the radial part is called a Slater function.

$$\Phi(r) = e^{-\alpha r}$$

Simplifying the above equation for the Hydrogen like system, the STO equation takes the form of,

$$\text{STO} = (\alpha^3/\pi) e^{-\alpha r} \quad -(4)$$

STO's are approximate solution to the Eigen value equation represented by eq (1).

Thus for one electron atoms the wave equation can be written as,

$$\Psi_{1s} = (\alpha^3/\pi) e^{-\alpha r} \quad -(5)$$

#### Advantages of STO

- Physically the exponential depend on distance from the nucleus is very close to the exact hydrogenic orbitals

- Ensure fairly rapid calculation with increasing number of functions
- They provide reasonable representation of atomic orbitals

### Disadvantages of STO

- They are not suitable for fast numerical calculations especially 3 and 4 centre integrals cannot be performed analytically.
- In the expression there is no radial nodes. This can be introduced by making linear combination of STO's.

#### ii. Gaussian Type Orbital (GTO)

In the 1950's Frank Boys from Cambridge University in the UK suggested a modification to the wave function by introducing Gaussian type function which contains the exponential  $e^{-\beta r^2}$  rather than  $e^{-ar}$  of the STO's. Such function neither represents the electron density of the real solution nor the STO's. But we can overcome this difficulty to a large extent by using more GTO's. Each basis function in this approach consists of several GTO's combined together in a linear manner with fixed coefficient. Thus we might define a GTO (3G) basis function as,

$$\text{GTO (3G)} = C_1 e^{-\beta_1 r^2} + C_2 e^{-\beta_2 r^2} + C_3 e^{-\beta_3 r^2} \quad - (6)$$

Where the three values of  $C$  and  $\beta$  are fixed and that number is included in the designation. These values can be found in several ways, one method is to fit the expression into STO using a least square method.

The GTO has general formula,

$$\text{GTO} = \Psi_{\alpha, n, l, m}(r, \theta, \Phi) = N e^{-\beta r^2} Y_{lm}(\theta, \Phi) r^{2n-2-l}$$

#### 1.5.4) Types of basis sets

##### i. STO-3G

This is a minimal basis set, although some atoms have actually more basis functions that are needed to accommodate all the electrons. This basis set introduces us the basic concept of contraction shell in contracting Gaussian from primitive Gaussian. The Gaussian have common

exponent. Carbon has one 1s orbital and one sp shell. This means that the 2s and the 2p Gaussian share common d exponent.

## **ii. 3-21G and 3-21G\***

In 3-21G basis set, they split each valence orbital into two parts, an inner shell and an outer shell. The basis function of the inner shell is represented by two Gaussian function, and that are the outer shell by one Gaussian the core orbitals are each represented by one basis function, each composed of three Gaussian.

In 3-21G basis here Lithium to Neon has a 1s function and inner and outer 2s, 2p<sub>x</sub>, 2p<sub>y</sub>, 2p<sub>z</sub> functions for the total of nine basis function these inhibit three contraction cells a 1s and sp inner and an sp outer contraction shell. For molecules beyond the atom of the first row this simple 3-21G basis set tend to give poor geometries. This problem is largely over come for second row elements by adding a function called polarization function.

## **iii. 6-31G\***

This is a split valence basis set with functions. The valence shell of each atom is split into an inner part composed of 3-Gaussian and an outer part composed of one Gaussian, while the core orbital are represented by basis function s each composed of 6 Gaussian, the polarization are present on the heavy atom those beyond Helium. Thus Hydrogen and Helium has a 1s orbital represented by the inner 1s' and outer 1s'' basis function making two basis function. Carbon has a 1s function represented by 6 Gaussian, an inner 2s, 2p<sub>x</sub>, 2p<sub>y</sub>, 2p<sub>z</sub> functions each composed of 3 Gaussian. The Gaussian and six 3d function making a total of 1s basis function calculated on 6-31G\*basis also called 6-31G [d].

The 6-31G\* is probably the most popular set at present, It give good geometry and often relative energies. In general it is much better than 3-21G\* basis set for geometry optimization. The 6-31G basis set adds polarization functions only to so called heavy atoms. Sometimes it is helpful to have polarization on Hydrogen as well. It probably offers little advantages over the 6-31G unless the Hydrogen are engaged in some special activity like hydrogen bonding or bridging.

### 1.5.5) Classification of basis sets

#### i. Minimal basis set

In a minimal basis set we select one basis function for every atomic orbital's that is required to describe the free atom that is, minimal basis sets contain the minimum number of basic functions need for each atoms. Minimal basis sets are five atomic type orbitals. In minimal basis sets each atomic orbitals represented by one STO which is fit with n- GTO. Here minimum numbers of atomic orbitals are used. We take all the orbitals requiring for the filling up of electrons. There for this is also known as a single zeta basis set (sz). The STO-KG or STO-Ng basis set is a minimal basis set which takes the linear combination of KGTOs to STO. The commonly used STO-KG minimal basis set is STO-3G. Since a minimal set incorporates only a single set of valence functions for each symmetry type. It is not capable of describing non-spherical electron distribution in molecules .

The individual GTO's are called primitive orbitals. While the combined functions are called contracted functions. Thus when a basis function contains more than one primitive Gaussian it can be considered as contracted. The STO-3G series basis set is a minimal set where each basis function is a contraction of 3 primitive Gaussians. Therefore the STO-3G basis set for methyl thus consist of a total number of a contracted functions built from 27 primitive functions.

#### ii. Correlation – consistent basis set

All the previously designated basis sets are POPLE (from the group of John Pople) basis sets. Another class of popular basis sets was developed by the research group of dunning. These are specially designed for post Hatree-Fock calculations, in which electron correlation is better taken in to account than at the Hatree-Fock level. They are intended, ideally, to give with such calculations improved results in step with nine correlated with their increasing size, and so they are called correlation consistent basis sets.

The correlation consistent basis sets are designated ccpVTZ

P — Polarization function

X —Number of shells the valence function is split

V —Valence

Z —Zeta

I.e., ccpVTZ — Correlation Consistent Polarized Valence Doubly Split Zeta

CcpVTZ — Correlation Consistent Polarized Valence Triply Split Zeta

These basis sets can be argument with diffuse and extra polarization function, giving Augmented – cc- Pvxz sets.

### **iii. Polarized basis sets**

Split valence basis set allow orbital to change size, but not to change shape. Thus limitation can be removed by orbitals with angular momentum beyond what is required describing ground state of each atom. The quantitative importance of polarization function is that they permit the molecular function more flexibly to distort away from spherical symmetry in the neighborhood of each atom. The distortion of s, p and  $\alpha$  orbitals can be made by the inclusion of p, d and f functions respectively in the basis set. This leads to double – zeta polarization or split valence plus polarization basis set. The commonly used 6-31G (d) basis set is formed by adding d- type functional to non – hydrogen atoms to the 6-31G basis set . In the cases where the description of the hydrogen atom is important, set of p – type orbitals are usually added, for the example the 6-31G (d,p) is the 6-31G (d) basis set is formed by adding a set of p type orbitals to hydrogen atoms. In a similar manner, the 6-31G (d) and 6-31G (d, p) basis sets are formed from the 6-31G basis set.

### **iv. Split valence basis set**

The simplest way to improve the flexibility of a basis set is to increase number of basic functions on each atom. A basis set formed by doubling the functions of a minimal basis set is usually termed a double – zeta basis set. If only the valence functions of a minimal basis set is doubled, the basis set is ruffed to as split valence basis set. The commonly used split valence basis sets are 3-21G and 6-31G basis set. In the 3-21G basis set, each inner orbital of an atom is formed by taking a linear combination of two and one GTO's respectively. The triple zeta basis set 6-31G is formed by further splitting the valence orbital into three sets of orbitals. This was proposed by John Pople and his group in the late 1970's They are an expansion of basis sets make the total are not in the valence shell and two functions for those in the valence shell.

### **v. Plane polarized basis sets**

Split valence basis sets allow orbital to change size. But not to change in the shape. This limitation can be removed by adding orbitals with angular momentum beyond what is require describing ground state of each atom. The qualitative importance of polarization functions is

that they permit the molecular wave function more flexibly to distort away from spherical symmetry in the neighborhood of each atom. The distortion of s, p and d orbitals can be made by the inclusion of p, d and f functions respectively the basis set. This leads to double- zeta plus polarization (DZP) or split valence plus polarization (SVP) basis set. The commonly used 6-31G (d) basis set is formed by adding d- type functional to non hydrogen atoms to the 6-31G basis set. In the cases where the description of the hydrogen atom is important, a set of p- type orbital is usually added.

Example: The 6-31G (d) basis set formed by adding a set of p type orbital hydrogen atom. In a similar manner, the 6-311G(d) and 6-311G(d, p) basis sets are formed from the 6-311G basis set.

#### **vi. Multi Zeta Valence Basis Set**

To overcome the deficiency of minimal basis set others basis set has been developed.

#### **vii. Double Zeta basis set:**

These have twice the number of function for each orbital. Thus hydrogen atom would have two functions, carbon and oxygen has ten functions each.

#### **viii. Triple Zeta basis set:**

Have thrice the number of functions compared to minimal basis set.

## **1.6) HARTREE-FOCK METHOD**

In computational chemistry the HF method is an approximation for the determination of the wave function and the energy of a quantum many body system is a stationary state. In Huckel theory the molecular orbitals and molecular orbital energies are derived using one- electron formalism. And it is assumed that the energy of a many electron system could be determined simply as the sum of the energies of the occupied one electron orbitals.

The ubiquity of orbital concepts in chemistry is a testimony to the predictive power and intuitive appeal of HF-Mo theory. However, it is important to remember that these orbitals are mathematical constructs which only approximate reality. As long as we are content to

consider molecules near their equilibrium geometry, HF theory often provides a good starting point for more elaborate theoretical methods which are better approximations to the electronic Schrodinger equation.

The HF equation can be solved numerically (exact HF ) or they can be solved in the space spanned by a set of basis functions [HF Roothan equation]. In either case the solution depend on the orbitals. Hence we need to guess some initial orbitals and then refine our guesses interactively. For this reason HF is called self consistent field (SCF) approach.

### **Restricted and un restricted HF method**

Although no strictly part of a model chemistry, there is a third component to even Gaussian involving how electron spin is handled, whether it is performed using an open shell model or a closed shell model. The two options are referred to a restricted and un restricted calculations. For closed shell model molecule having an even number of electron divided into pairs of opposite spins a spin restricted model is a default. In other words closed shell calculations use doubly occupied orbitals, each containing two electrons of opposite spins.

## **1.7) INFRARED SPECTROSCOPY**

If we consider a diatomic molecule with masses  $m_1$  and  $m_2$  respectively execute simple harmonic oscillation, then its stretching frequency is given by

$$\nu = \frac{1}{2\pi} \sqrt{\frac{k}{\mu}}$$

where  $k$  is the force constant and  $\mu = \frac{m_1 m_2}{m_1 + m_2}$ .

In reality the vibration may not be of simple harmonic nature, so the vibrational wavenumber is obtained as  $\bar{\nu}_0 = \bar{\nu}_e \left[ 1 - \left( v + \frac{1}{2} \right) x_e \right]$

where  $x_e$  is the anharmonicity constant,  $v$  is the vibrational quantum number and  $\bar{\nu}_e$  oscillation frequency of the anharmonic system.

The atoms in a molecule usually execute different types of vibrational motion. They excite to a higher vibrational level if radiation of same frequency that of its quantized vibration is allowed to fall on them. The molecules absorbing a quantum of energy give rise to bands from about  $200 \text{ cm}^{-1}$  to  $4000 \text{ cm}^{-1}$ . Functional groups have characteristic vibrational

frequencies and these groups can be identified by their characteristic vibrational frequencies. This makes vibrational spectra one of the most reliable methods for the understanding of the structure of the molecules. IR region of the electromagnetic spectrum is considered to cover the range from 50 to 12500  $\text{cm}^{-1}$ . It is generally subdivided into three regions- near IR (12500-4000  $\text{cm}^{-1}$ ), middle IR (4000-400  $\text{cm}^{-1}$ ) and the far IR (400-50  $\text{cm}^{-1}$ ). The middle IR is the region most commonly employed for standard laboratory investigations as it covers most of the vibrational transitions.

### 1.7.1) Infrared selection rules

A molecule can absorb a photon of energy  $h\nu$  and make a transition from the state  $\varphi_i$  to  $\varphi_j$  if the energy difference between the two states is equal to the photon energy. The probability of transition from a state  $i$  to  $j$  is proportional to the square of the transition moment given as

$$\mu_{ij} = \int \varphi_i^* \mu \varphi_j d\tau$$

where,  $\mu$  is the operator for the dipole moment of the molecule. The dipole moment of a molecule is a function of the normal coordinate  $Q_k$  of the vibrational mode and can be expanded using the Taylor series

$$\mu = \mu_0 + \left( \frac{\partial \mu}{\partial Q_k} \right) Q_k + \dots$$

Neglecting the higher order terms and substituting this in the expression for  $\mu_{ij}$ ,

$$\mu_{ij} = \int \varphi_i^* \mu_0 \varphi_j d\tau + \int \varphi_i^* \left( \frac{\partial \mu}{\partial Q_k} \right) Q_k \varphi_j d\tau$$

$$\mu_{ij} = \mu_0 \int \varphi_i^* \varphi_j d\tau + \left( \frac{\partial \mu}{\partial Q_k} \right) \int \varphi_i^* Q_k \varphi_j d\tau$$

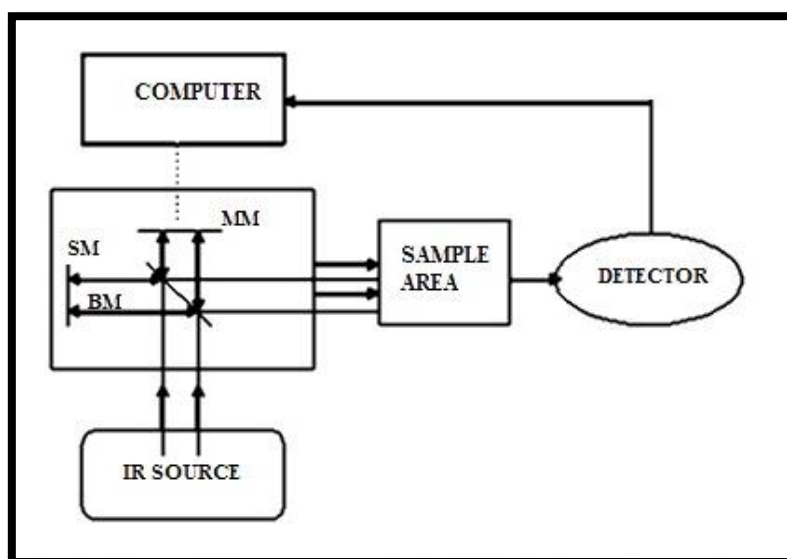
The first term will vanish and the second term is non zero if  $\left( \frac{\partial \mu}{\partial Q_k} \right)$  is finite at least for one component of dipole moment. That is, for a mode of vibration to be IR active, the vibrational motion of that mode must give rise to a change in dipole moment. Also the integral  $\int \varphi_i^* Q_k \varphi_j d\tau$  must be finite which is possible only if the vibrational quantum number change as  $\Delta v = \pm 1$  for harmonic approximation. For anharmonic approximations  $\Delta v = \pm 2, \pm 3, \dots$ . The symmetry of the molecule also restricts activity of vibrations. Homonuclear diatomics have no dipole moment and also no change in dipole moment during vibration. So vibrational spectra are observable only in the case of heteronuclear di atomics [Aruldas , *et.al*, 2004].



### 1.7.2) FT-IR Spectroscopy - Instrumentation and theory

Fourier Transform is a simple mathematical technique to resolve a complex wave into its frequency components. It is employed in infrared spectroscopy (i.e. FT-IR) for the detection of very weak signals, and is useful even in the far IR regions where the conventional spectrometers fails as the sources are weak and detectors insensitive. The absorption spectrum in a Fourier transform infrared spectrometer is obtained through interference technique, like almost all diffraction methods. The structural information from the observed diffraction patterns are obtained by Fourier transformation, accomplished using digital computers.

The principle of interferometry is the simple interference of radiations. Fourier transform infrared (FT-IR) spectroscopy too is an interference technique through which recent advances in digital computer technology has demonstrated in superiority to dispersive IR techniques. Fourier transform spectroscopy uses the Michelson interferometer as the multiplex optical device [Griffiths and De Haseth 1986].



**Fig 1.7.1**

#### **Block diagram of FT-IR Spectrometer**

##### **i. Source**

The most commonly used radiation source is globar operating on the basis of the block body radiation. It consists of a SiC (silicon carbide) dimensions 2 cm in length and 0.5 cm in diameter heated to about 1450 K. The globa is generally used in the spectral range up to 100 $\mu$ m. The globar is operated in vacuum only. Nernst glower (sintered mixtures of the oxides of Zr, Tm,

Ce, Y, and Er) is also used as source in some spectrometers depending upon the spectral range. For more sophisticated applications, gas plasma must be used as radiation source. Besides thermic Microwave generators like klystrons or magnetrons are also used for wide frequency range.

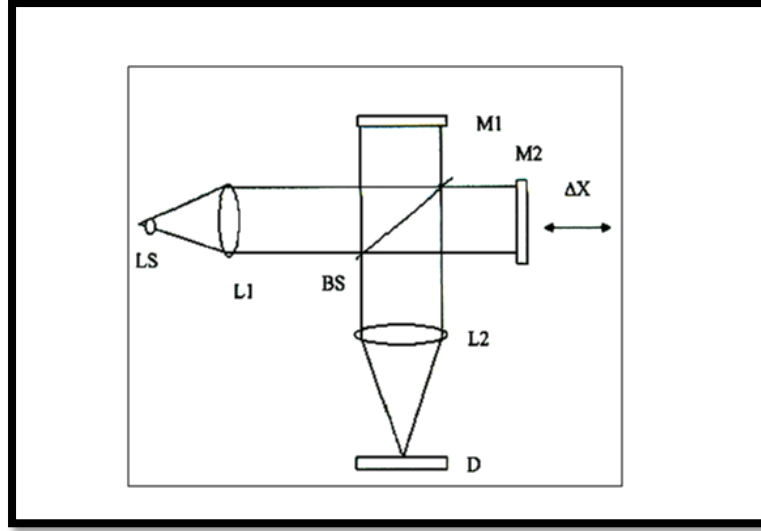
## ii. **Detector**

There are two detector commonly used depending upon the energy reaching the detector. A normal detector for routine work function is based on pyroelectric effect. Crystals such as deuterium triglycine sulphate in temperature resistant alkali halide window, with a permanent electric dipole moment respond to a sudden change in the dipolar order with the generation of compensating surface charges. IR or heat pulses can be origin if such induced disorder. The voltage accompanying the compensation charges can be used to detect the IR to the heat pulse.

The commonly used system is the Golay detector which works under a pneumatic principle. A thin film absorbs the incident IR light. The generated heat increases the pressure in the gas chamber which drives a mirror. The mirror is a part of an optical system that images a grating onto itself. Any small motion of the mirror leads to change in the overlap between grating and the image and thus gives a signal to the detector.

## iii. **Interferometer**

The interferometer is the heart of the FT-IR instrument. The interferometer is the bit that analyses the IR or near IR and hence enable us to generate the spectrum. The classic Michelson interferometer is shown in Fig.1.7.2 contains a source, detector, stationary and moving mirrors and a beam splitter; here LS - Light Source, L1, L2 - Lenses, M1, M2 - Mirrors, D - Detector, BS - Beam Splitter.



**Fig.1.7.2**

### Michelson Interferometer – Optical path

The beam splitter is the crystal of potassium bromide coated with germanium [Mile *et al.*, 1985], splits the incident light equally reflecting half to the stationary mirror while transmitting the other half to the moving mirror. The white light from the source located at the focus of lens L<sub>1</sub> is separated into two parts by the beam splitter. The reflected part is focused into the detector D after reflection from the stationary mirror M<sub>1</sub> and after a second split by the beam splitter. The transmitted part of the light is also focused onto the detector after it was reflected from the moving mirror M<sub>2</sub> is movable, which produce the path difference between the two beams thereby develop interference pattern at the detector. Their intensity  $I(x)$  depends on the position  $x$  of the mirror M<sub>2</sub>.  $I(x)$  is termed interferogram function.

If the incident beam is monochromatic of the form  $E(x, t) = E_0 \cos(kx - \omega t)$ , the field  $E_0$  at the detector is

$$E_D = \frac{1}{2} \{ E_0 \cos(\overline{\omega_0 t} - k_0 x) + E_0 \cos[k_0(x + 2\Delta x) - \overline{\omega_0 t}] \}$$

where,  $2\Delta x$  is the optical path difference between the two beams. The intensity at the detector is given by the following expression

$$I(x) = c_0 \epsilon_0 \langle E^2 \rangle = \frac{c_0 \epsilon_0}{4} E_0^2 [1 + \cos(4\pi \nu_0 x)]$$

In terms of spectral intensity,  $I(\nu) = c_0 \epsilon_0 E_0^2 \delta(\nu - \nu_0)/2$ , the above equation can be written as

$$I(x) = \frac{1}{2} \int_0^\infty I(\nu) [1 + \cos(4\pi \nu x)] d\nu$$

Generalizing this equation to an arbitrary intensity spectrum  $I(\nu)$  yields the basic relationship for Fourier spectroscopy.

$$I'(x) = I(x) - \frac{1}{2} \int_0^\infty I(\nu) d\nu = \frac{1}{2} \int_0^\infty I(\nu) \cos(4\pi\nu x) d\nu$$

The interferogram function  $I(x)$  or  $I'(x)$  contains the whole information about the spectrum  $I(\nu)$ . In fact,  $I'(x)$  is the Fourier transform of  $I(\nu)$  performed with the cosine function. The observed intensity  $I(x)$  oscillates around an average intensity  $I_0/2$  which is exactly half of the original total intensity of the beam.

For  $x = 0$ , it reaches its maximum value of  $I_0$ . This position corresponds to zero optical path differences. It is called white light position. For  $x = \infty$ , the coherence of the radiation is lost, and therefore the intensity at the detector becomes  $I_0/2$ .

A Fourier transformation of  $I'(x)$  yields for  $x = y/2$ ,

$$\int I' \left( \frac{y}{2} \right) \cos(2\pi\nu' y) dy = \frac{1}{2} I(\nu) d\nu \int \cos(2\pi\nu y) \cos(2\pi\nu' y) dy = I(\nu')/2$$

Since the integration over  $y$  gives  $\delta(\nu - \nu')$ . This equation shows that the spectral components of the light are obtained directly from the interferogram by Fourier transformation, without any spectral dispersion [Kuzmny 1998]. This Fourier spectroscopy has many basic advantages when compared to dispersive spectroscopy.

The ‘energy advantage’ originates from the fact that during the whole period of measurement nearly always the total beam intensity is captured by the detector. This means the detection operates on a high signal level which improves the signal-to-noise ratio, particularly for weak radiation sources.

The ‘Fellgett’ or the ‘multiplex advantage’ originate from the simultaneous measurement of full spectrum. To obtain spectra from radiation of very low intensity such as from astronomical sources, all the frequencies are recorded simultaneously during spectroscopy as in dispersive spectroscopy, they are said to be ‘multiplexed’. In dispersive spectroscopy  $N$  parts of the widths  $\Delta\nu$  of the spectrum will be measured successively so that for each part only the time  $T' = T/N$  is available. The signal-to-noise ratio would be smaller by  $\frac{1}{\sqrt{4N}}$ .

Interferometer also has greater ‘throughput’ than dispersive IR methods. ‘Throughput’, a measure of the optical efficiency of the system, is defined as the product of the area and solid angle of the beam passing from the source to the detector. Since there is no slit in FT-IR

spectrometer, the cross section of the beam of radiation in an interferometer can be much larger than that of a dispersive spectrometer, which leads to considerable improvements in signal-to-noise ratio. But in much chemical and analytical application the sample size limits the energy advantage. The optimum resolution is obtained by using the theoretical relationship,  $r = F \sqrt{\frac{2}{R_0}}$ . Here  $r$  is the radius of the entrance pinhole and  $R_0$  is the resolution. This yields for the brightness of the interferometer.

$$E = (r^2 \pi A^2) / F^2 = (2 \pi A^2) / R_0 = (2 \pi A^2) / \nu \delta \nu$$

where  $A$  is the beam diameter in the spectrometer. For dispersive spectrometer the brightness is given by

$$E = (AR_0 H \delta \lambda) / F$$

where,  $H$  and  $F$  are the height of the slit and the focal length of the grating spectrometer respectively. By comparing these two equation it is found that for Fourier spectrometer the enhancement of the brightness is of the order of 500 times greater than the grating and prism spectrometer known as the 'Jacquinot advantage'.

The dispersive spectrometer suffer from greater wavenumber errors, of a less predictable form owing to their general mechanical and thermal instability and can also be affected by non-uniform illumination across the monochromator entrance slit. FT spectrometer typically uses the He-Ne lasers as a reference beam to monitor the displacement of the moving optical element, so providing an active internal absolute wavelength calibration [Cones and Cones 1966]. This feature of FT spectrometers is known as the 'Cannes advantage'.

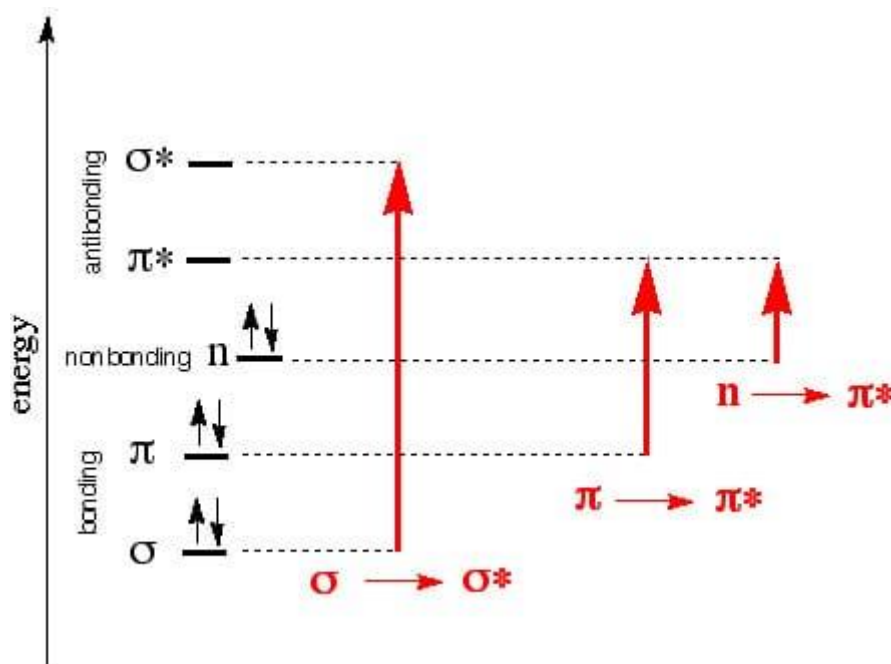
## 1.8) UV-VISIBLE SPECTROSCOPY

UV-Visible/NIR spectroscopy(UV-Vis Spectroscopy) can be divided into ultraviolet, visible, and near-infrared regions of the spectrum, depending on the wavelengths used. since its frequency is close to the overtone frequency of many natural vibrations, weak substance-specific absorption bands can be detected. It can therefore be used for non-destructive measurements, such as determining the sugar, lipid, protein content of foodstuffs and for identifying medicinals.

### 1.8.1) Principle of UV-VIS Spectroscopy

UV-visible spectroscopy exploits the wave-like nature of electrons and its interactions with electromagnetic radiation. UV-Vis spectroscopic instruments are used to identify, characterize, and quantify an extraordinary wide range of molecular compounds. It can be used for non-destructive measurements, such as determining the sugar, lipid, protein content of foodstuffs and for identifying medicinals.

When a material is irradiated with an electromagnetic wave, phenomena such as transmission, absorption, reflection, and scattering can occur. Absorption occurs when the energy of incoming light is equal to the energy difference ( $\Delta E$ ) between a molecule's ground and excited states. The excitation of an electron from the ground state to an excited state is described as an electronic transition (Figure 1.8.1).



**Fig 1.8.1**

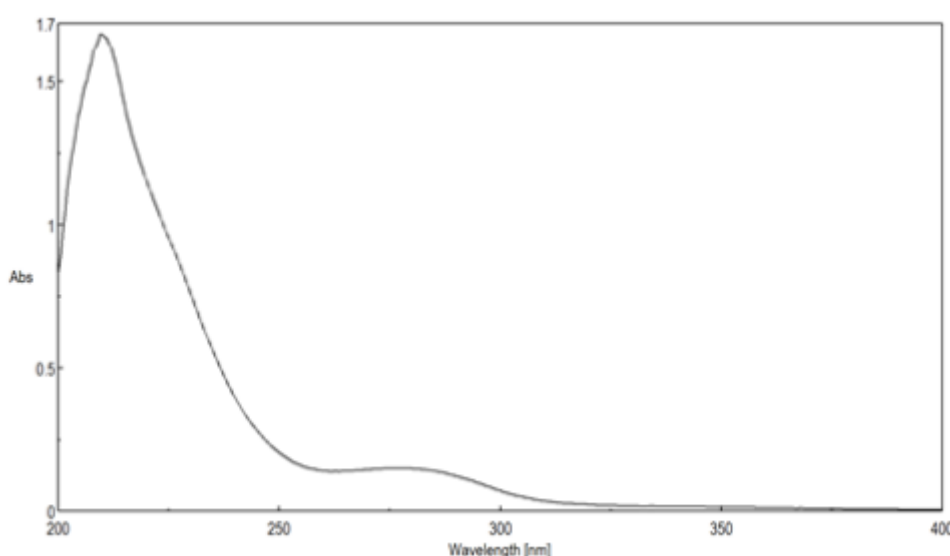
Excitation of an electron from the ground state (S0) to the excited state (S1).

The relationship between the energy difference and wavelength is described by the Plank equation.

$$E=h\nu=hc/\lambda$$

where  $E$  is the energy required to promote an electron from the ground to excited state,  $h$  is Planck's constant,  $\nu$  is the wavenumber,  $c$  is the speed of light, and  $\lambda$  is the wavelength.

Planck's equation demonstrates that the less energy needed to excite the electrons, the longer the wavelength of the absorption band. The absorption bands are indicative of the molecular structure of the sample and will shift in wavelength and intensity depending on the molecular interaction and environmental conditions. These bands are typically broad and featureless due to the numerous molecular vibrational levels associated with the electronic energy levels.



**Fig 1.8.2**

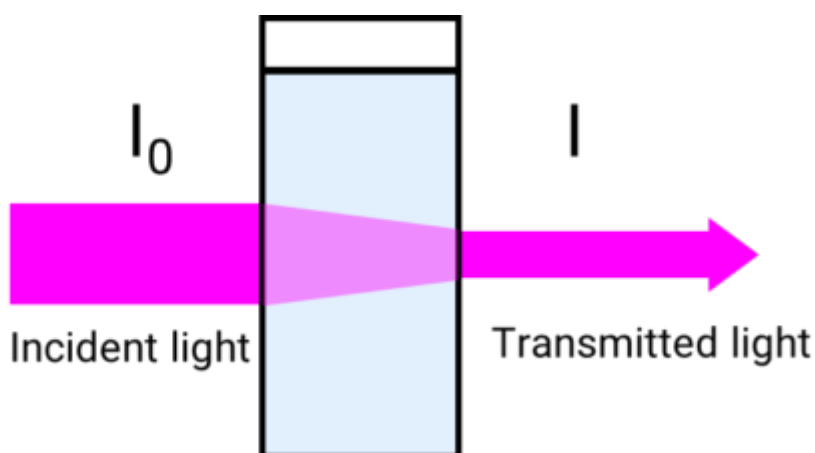
Example absorption spectrum. The peak around 280 nm requires less energy to promote electrons into the excited state than the peak around 215 nm.

UV-Visible/NIR spectroscopy can be divided into ultraviolet, visible, and near-infrared regions of the spectrum. The ultraviolet region is defined as 180 to 400 nm, visible between 400 and 800 nm, and the near-infrared is from 800 to 3200 nm. Near-infrared light is generally poorly absorbed because its photon energy is insufficient to induce electronic transitions and its frequency is greater than the natural vibration frequency of most chemical bonds. However, since the frequency in the NIR is close to the overtone frequency of many natural vibrations, weak substance-specific absorption bands can be detected.

### **1.8.2) Beer-Lambert Law**

A UV-Visible/NIR spectrophotometer measures the transmittance or the amount of light transmitted through a sample by ratioing the intensity of the incident light ( $I_0$ ) to the intensity of the transmitted light ( $I$ ).

$$T = I/I_0$$



**Figure 1.8.3**

Incident light ( $I_0$ ) passing through a sample that transmits light,  $I$ .

The relationship between transmittance and absorbance is described by the following equation

$$abs = 2 - \log I/I_0 \cdot 100 = 2 - \log (\%T)$$

Absorbance measurements are frequently used to quantify an unknown sample's concentration by exploiting the Beer-Lambert Law that describes how light is attenuated based on the materials it passes through. The transmittance, and therefore the absorbance, are directly proportional to a sample's concentration,  $c$ , molar absorptivity,  $\epsilon$ , and cuvette pathlength,  $l$ .

$$A = \epsilon cl$$

The amount of light absorbed by the sample depends on the number of molecules interacted with. The more concentrated a sample is, the more molecules are present and the higher the absorbance. Likewise, the longer the pathlength of the cell, the greater the distance that the light travels through the sample, increasing the number of molecules interacted with and therefore the absorbance. To compare the absorbances of two solutions with either different concentrations or pathlengths, there needs to be a constant variable to normalize the data on. Additionally, to determine a sample's concentration by measuring absorbance, the cell pathlength and the strength of the electronic transition of the chromophore must be known. This constant or the probability of the electronic transition occurring is the molar absorptivity. Since molecules have different electronic transitions of varying strengths, the molar absorptivity will vary depending on the transition being probed and is therefore wavelength dependent.



## 1.9) ELECTROSTATIC POTENTIAL OF MOLECULES

For a molecular charge distribution, which is essentially a collection of positive discrete nuclear charges  $Z_A$  and a continuous negative electron density distribution described by  $\rho(\mathbf{r})$ , the molecular electrostatic potential generated is given in atomic units as

$$V(\mathbf{r}) = \sum_A \frac{Z_A}{|\mathbf{r}-\mathbf{r}_A|} - \int \frac{\rho(\mathbf{r}')}{|\mathbf{r}-\mathbf{r}'|} d^3\mathbf{r}'$$

The first term is the bare-nuclear potential, which is always non-negative, the second term is the negative potential, engendered by the continuous electron charge density. The resultant total MEP thus generated can attain positive as well as negative values through zero.

The molecular electrostatic potential can be evaluated using the density matrix  $\mathbf{P}$  and the atomic orbitals  $\{\varphi_\mu\}$ , as

$$V = \left( \mathbf{r} \sum_{A=1}^N \frac{Z_A}{|\mathbf{r}-\mathbf{r}_A|} \right) - \sum_{\mu,\vartheta} P_{\mu\vartheta} \int \frac{\varphi_\mu(\mathbf{r}')\varphi_\vartheta^*(\mathbf{r}')}{|\mathbf{r}-\mathbf{r}'|} d^3\mathbf{r}'$$

Here  $\varphi_\mu$ 's are atomic orbitals and  $P_{\mu\vartheta}$ 's are the corresponding density matrix elements. The ab initio MEP calculations for large molecules are often slow due to the large number of atoms involved, the extensive basis sets employed, as well as the number of grid points over which the MEP is to be evaluated. However several ingenious techniques have been developed for faster computation of MESP. Different mathematical inequalities and numerical checks have been found to be useful for achieving an efficient computation of the MEP. From the above equation it is seen that if a density matrix element  $P_{\mu\vartheta}$  is numerically close to zero, then the integrals involving the interaction of  $\varphi_\mu$  and  $\varphi_\vartheta$  need not be evaluated for any point on the grid. Thus for large molecules, even though  $N(N+1)$  integrals are involved, most of them can be neglected depending upon the value  $P_{\mu\vartheta}$  and the distance between the centres of the corresponding atomic orbitals. Within a density functional scheme, the Kohn-Sham orbitals could be used in order to compute MEP. The MEP obtained within the framework of the density functional theory (DFT) is also generally found to be in good qualitative agreement with the corresponding HF-SCF one. The suitability of DFT method towards the calculation of electrostatic properties of molecules [Solvias *et al.*, 1997] has been assessed by Solvias *et al.*, It was observed [Solvias *et al.*, 1997] that DFT methods do not noticeably improve the MEP representation at the HF level. However, a more remarkable improvement was seen on employing hybrid non-local functionals. Since DFT is a computationally economical method, it can be gainfully employed for examining molecular electrostatics of larger systems. Since

1990, the DFT based methods have gained popularity for tackling large molecular systems. [ Gadre and Shirsat 2000].

### **1.10) FRONTIER MOLECULAR ORBITALS**

The concept of linear combination can be applied to polyatomic molecules and the resulting MOs are delocalized over the entire molecule. These linear combination lead to bonding, anti-bonding or non-bonding MOs. The HOMO (highest occupied molecular orbital) and LUMO (lowest unoccupied molecular orbital) are known as frontier molecular orbitals. The ionization energy (I) for an orbital is defined as the energy needed to remove an electron from the orbital to infinite separation, while the electron affinity (A) of an orbital is the energy released when the orbital accepts an electron from infinity [Fukui, 1982]. The orbital energy of the highest occupied MO (HOMO) is approximately equal to the ionization energy and the orbital energy of the lowest unoccupied MO (LUMO) is approximately equal to the electron affinity.

### **1.11) NONLINEAR OPTICAL PROPERTIES**

Conjugated molecules could possess large nonlinear response. A molecule is said to be conjugated if it contains alternating single and double bonds. A single bond always has the structure of  $\sigma$  bond, while a double bond consists of a  $\sigma$  and a  $\pi$  bond. A  $\pi$  bond is made up of the orbital overlap of two p orbitals, one from each atom connected by the bond. The optical response of a  $\sigma$  bond is very different from that of  $\pi$ , because  $\sigma$  electrons tend to be localized in space. In contrast,  $\pi$  electrons tend to be delocalized and hence tend to be less tightly bound and will respond freely to an applied optical field and this tends to produce large linear and nonlinear optical responses. The  $\pi$  electrons tend to be delocalized in the sense that a given electron can be found anywhere along the chain.

Early models of the optical and nonlinear optical properties of delocalized  $\pi$ -electron systems include the “particle in a box” [Kuzuk 2013]. We can model the  $\pi$  electrons of a conjugated chain as being free to move in a one dimensional square well potential whose length  $L$  is that of the chain. The polarizabilities are proportional to the higher powers of  $L$ . As interest focused on lowest order nonlinear optics and second-harmonic generation, the requirement for a lack of inversion symmetry at the molecular level required molecules with a dipole moment,

so that electron donor and electron acceptor terminated  $\pi$ -electron structures became the model. Clearer connections to the quantum mechanical descriptions of these structures emerged through connection to charge transfer within the  $\pi$ -conjugated system and the excited state dipole moment.

Organic molecules possess large optical nonlinearities and hence they invite much interest to be used as nonlinear optical devices. For a molecule to show large hyperpolarizabilities, the electrons required to be highly polarizable so that it would respond quickly to an electric field. This is achieved through conjugation, where the  $\pi$  electrons are delocalized and could be moved by an electric field. The electron donor and acceptor groups attached at opposite ends of the molecule provide asymmetry to the molecule. A primary requirement for a molecule to have a nonlinear response is that it should possess non centrosymmetry. If the conjugation length or the strength between these groups is increased, it will result in large hyperpolarizabilities.

## CHAPTER 2

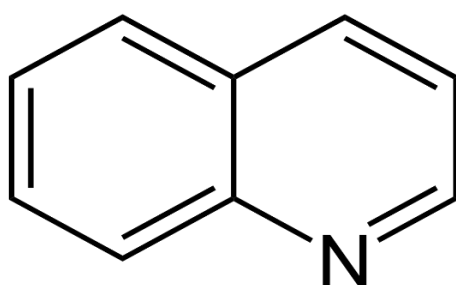
### LITERATURE REVIEW

Quinoline is a heterocyclic aromatic organic compound with the chemical formula  $C_9H_7N$ . It is a colorless hygroscopic liquid with a strong odor. Aged samples, especially if exposed to light, become yellow and later brown. Quinoline is only slightly soluble in cold water but dissolves readily in hot water and most organic solvents. Quinoline itself has few applications, but many of its derivatives are useful in diverse applications. A prominent example is quinine, an alkaloid found in plants. Over 200 biologically active quinoline and quinazoline alkaloids are identified. 4-Hydroxy-2-alkylquinolines (HAQs) are involved in antibiotic resistance.[Brown , et.al, 1955).

Quinoline was first extracted from coal tar in 1834 by German chemist Friedlieb Ferdinand Runge; he called quinoline leukol ("white oil" in Greek). Coal tar remains the principal source. Std enthalpy of formation ( $\Delta_f H^\ominus_{298}$ )  $174.9 \text{ kJ}\cdot\text{mol}^{-1}$  Hazards GHS labelling: Pictograms Signal word Danger Hazard statements H302, H312, H315, H319, H341, H350, H411 Precautionary statements P201, P202, P264, P270, P273, P280, P281, P301+P312, P302+P352, P305+P351+P338, P308+P313, P312, P321, P322, P330, P332+P313, P337+P313, P362, P363, P391, P405, P501 NFPA 704 (fire diamond) Flash point  $101^\circ\text{C}$  ( $214^\circ\text{F}$ ;  $374 \text{ K}$ ) Autoignition temperature  $400^\circ\text{C}$  ( $752^\circ\text{F}$ ;  $673 \text{ K}$ ) Lethal dose or concentration (LD, LC): LD<sub>50</sub> (median dose)  $331 \text{ mg/kg}$  Except where otherwise noted, data are given for materials in their standard state (at  $25^\circ\text{C}$  [ $77^\circ\text{F}$ ],  $100 \text{ kPa}$ ). In 1842, French chemist Charles Gerhardt obtained a compound by dry distilling quinine, strychnine, or cinchonine with potassium hydroxide; he called the compound Chinoilin or Chinolein. Runge's and Gephardt's compounds seemed to be distinct isomers because they reacted differently. However, the German chemist August Hoffmann eventually recognized that the differences in behaviors was due to the presence of contaminants and that the two compounds were actually identical. The only report of quinoline as a natural product is from the Peruvian stick insect *Oreophoetes peruana*. They have a pair of thoracic glands from which they discharge a malodorous fluid containing quinoline when disturbed. "Defensive Production of quinoline by a phasmid insect (*Oreophoetes peruana*) Like other nitrogen heterocyclic compounds, such as pyridine derivatives, quinoline is often reported as an environmental contaminant associated with facilities processing oil shale or coal, and has also been found at legacy wood treatment sites.

Owing to its relatively high solubility in water quinoline has significant potential for mobility in the environment, which may promote water contamination. Quinoline is readily degradable by certain microorganisms, such as *Rhodococcus* species Strain Q1, which was isolated from soil and paper mill sludge. Quinolines are present in small amounts in crude oil within the virgin diesel fraction. It can be removed by the process called hydro denitrification.

### STRUCTURE:



### USES OF QUINOLINE

- (1) Quinoline is used in the manufacture of dyes, the preparation of hydroxyquinoline sulfate and niacin. It is also used as a solvent for resins and terpenes.
- (2) Quinoline is mainly used as in the production of other specialty chemicals. Approximately 4 tonnes are produced annually according to a report published in 2005. Its principal use is as a precursor to 8-hydroxyquinoline, which is a versatile chelating agent and precursor to pesticides. Its 2- and 4-methyl derivatives are precursors to cyanine dyes. Oxidation of quinoline affords quinolinic acid (pyridine-2,3-dicarboxylic acid), a precursor to the herbicide sold under the name "Assert".
- (3) The reduction of quinoline with sodium borohydride in the presence of acetic acid is known to produce Kairolin A.

(4) (C.f. Kairine) Quinoline has several anti-malarial derivatives, including quinine, chloroquine, amodiaquine, and primaquine.

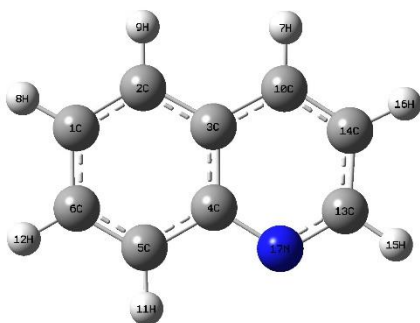
(5) Quinolines are reduced to tetrahydroquinolines enantioselectively using several catalyst systems.

Quinolinium compounds (e.g. salts) can also be used as corrosion inhibitors and intensifiers.

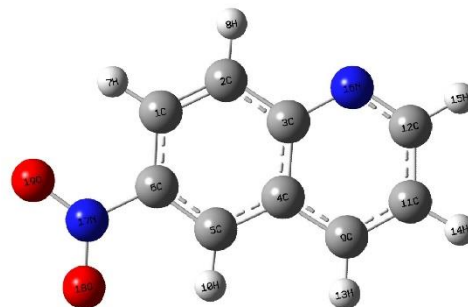
## CHAPTER 3

### RESULT AND DISCUSSION

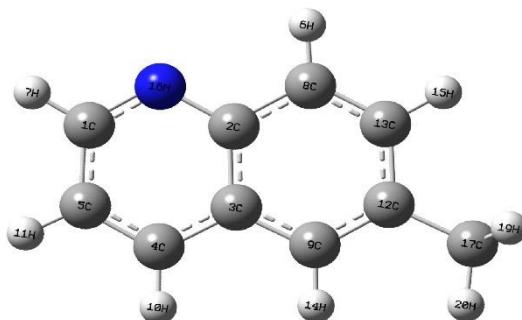
#### OPTIMIZED STRUCTURE OF COMPOUNDS



**QUINOLINE**



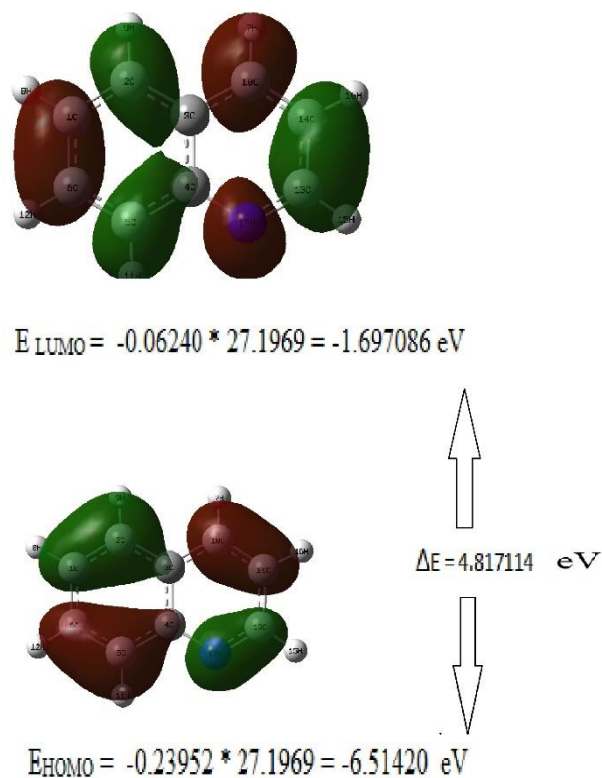
**6-NITRO QUINOLINE**



**6-METHYL QUINOLINE**

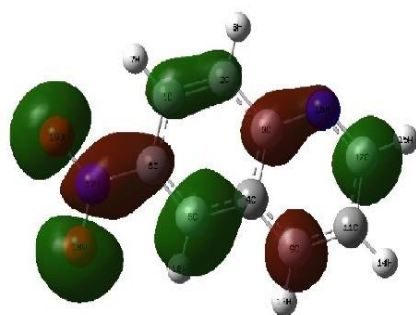
## FRONTIER MOLECULAR ORBITALS

The frontier molecular orbital (FMO) energies of the compounds were calculated by using the DFT/B3LYP/SDD level. The occupied and unoccupied MOs which are active in electronic transitions for compounds are shown in the figures. It is well known that HOMO energy characterizes the electron giving ability, while LUMO energy characterizes the electron withdrawing ability. Energy gap between HOMO and LUMO exhibits the molecular chemical stability and it plays a critical role in determining molecular electrical transport properties. Obviously, it indicates electron conductivity measurement. It is observed that the values of energy gap for the compounds were found to be 4.817 eV for Quinoline, 3.9577 eV for 6-Nitro quinoline and 4.8010 eV for 6-Methyl quinoline. The small energy gap between HOMO and LUMO indicates that charge transfer occurs within compounds and can be easily polarized. The lowest energy gap observed At 3.9577 eV for 6-Nitro quinoline compound while the largest value was theoretically found to be 4.817 eV for the Quinoline compound. It is obvious that the substitution of donor group to the Quinoline does not change the Energy gap much but the substitution of a strong acceptor group has lessened the Energy gap.

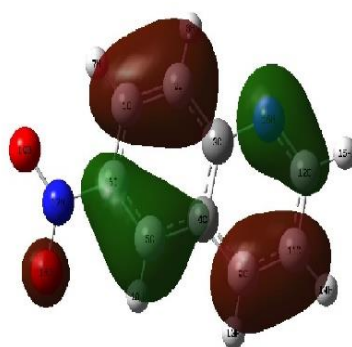


### QUINOLINE LUMO AND HOMO





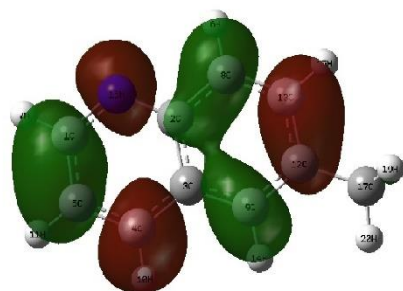
$$E_{\text{LUMO}} = -0.12568 * 27.1969 = -3.418106 \text{ eV}$$



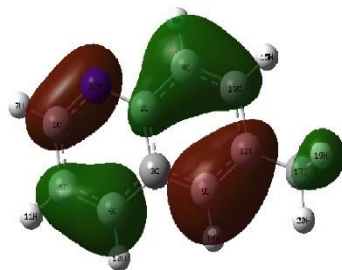
$$E_{\text{HOMO}} = -0.27120 * 27.1969 = -7.375799 \text{ eV}$$

$$\Delta E = 3.957693 \text{ eV}$$

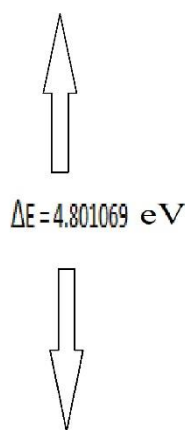
## 6-NITRO QUINOLINE LUMO AND HOMO



$$E_{\text{LUMO}} = -0.05798 * 27.1969 = -1.576876 \text{ eV}$$



$$E_{\text{HOMO}} = -0.23451 * 27.1969 = -6.377945 \text{ eV}$$

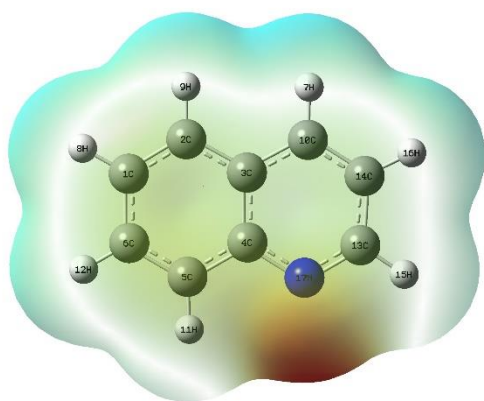


## 6-METHYL QUINOLINE HOMO AND LUMO

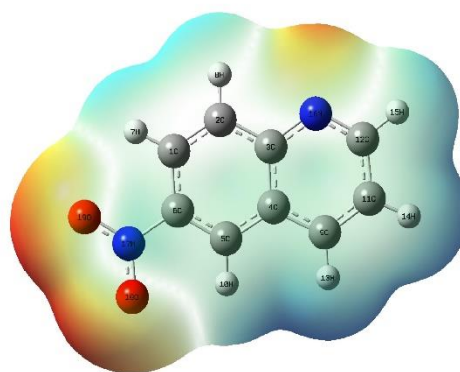
### MOLECULAR ELECTROSTATIC POTENTIAL

MEP is related to the electron density and is a very useful descriptor in understanding sites for electrophilic and nucleophilic reactions as well as hydrogen bonding interactions [Scrocco and Tomasi 1978; Luque *et al.*, 2000]. The electrostatic potential  $V(r)$  is also well suited for analyzing processes based on the "recognition" of one molecule by another, as in drug-receptor, and enzyme-substrate interactions, because it is through their potentials that the two species first "see" each other [Politzer and Murray 1991; Scrocco and Tomasi 1973]. To predict reactive sites of electrophilic and nucleophilic attacks for the investigated molecule, MEP at the B3LYP/SDD optimized geometry was calculated. The negative (red and yellow) regions of MEP were related to electrophilic reactivity and the positive (blue) regions to

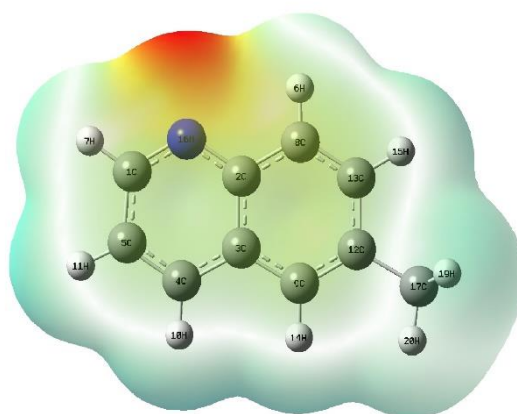
nucleophilic reactivity. From the MEP it is evident that the negative charge covers the 17<sup>th</sup> Nitrogen group and the positive region is over the Hydrogen group for Quinoline compound. For 6- Nitro quinoline compound electronegative region is over NO<sub>2</sub> and 16<sup>th</sup> Nitrogen and electropositive region is over Hydrogen and for the 6- Methyl quinoline compound the negative electrostatic potential is over 16<sup>th</sup> Nitrogen and positive electrostatic potential is over CH<sub>3</sub>.



**QUINOLINE**



**6-NITRO QUINOLINE**



**6-METHYL QUINOLINE**

### 3.1) COMPUTATIONAL DETAILS

Calculations of the title compound are carried out with Gaussian09 program [Frisch *et al.*, 2010] using B3LYP/SDD quantum chemical calculation methods to predict the molecular structure and vibrational wave numbers. Molecular geometry was fully optimized by Berny's optimization algorithm using redundant internal coordinates. Harmonic vibrational wave numbers are calculated using the analytic second derivatives to confirm the convergence to minima on the potential surface. The Stuttgart/Dresden effective core potential basis set (SDD) was chosen particularly because of its advantage of doing faster calculations with relatively better accuracy and structures [Hay and Wadt 1985; Zhao and Truhlar 2008]. Then frequency calculations were employed to confirm the structure as minimum points in energy. Parameters corresponding to optimized geometry (SDD) of the title compounds are given in Tables. The absence of imaginary wavenumbers on the calculated vibrational spectrum confirms that the structure deduced corresponds to minimum energy. The assignments of the calculated wave numbers are aided by the animation option of GAUSSVIEW program, which gives a visual presentation of the vibrational modes [Dennington *et al.*, 2009].

### 3.2) IR SPECTRA OF QUINOLINE

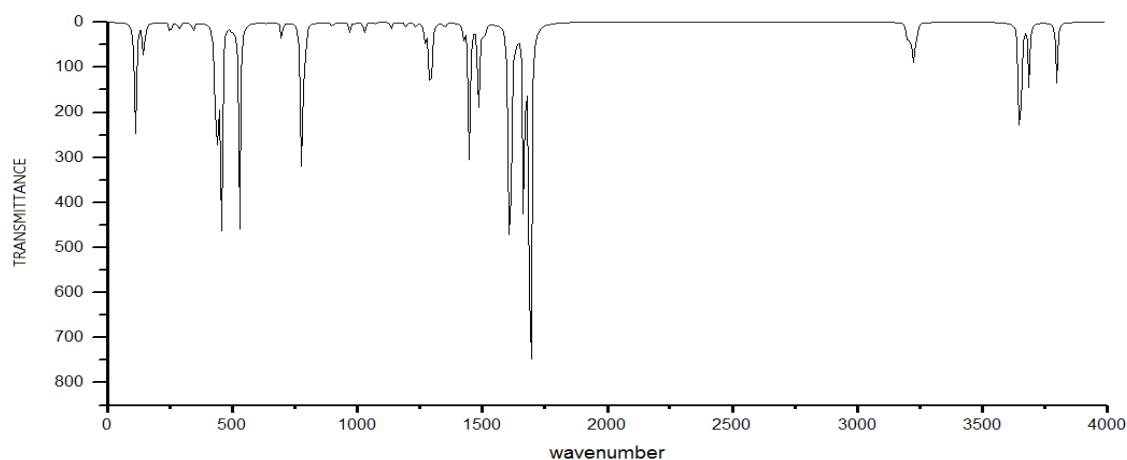
(a) TABLE 3.2.1

SERIAL NO	DFT WAVENUMBER	IR SPECTRA WAVENUMBER	ASSIGNMENT
1.	181	182	Yring
2.	189		Yring
3.	379	376	$\delta$ ring
4.	410		YC <sub>10</sub> -H <sub>7</sub>
5.	490	466	uring
6.	502	508	YC-H
7.	527		uring
8.	531		$\delta$ ring
9.	628	628	$\delta$ ring
10.	658		Yring
11.	761		uring
12.	777	780	Yring
13.	828	827	Yring
14.	831		$\delta$ ring
15.	853	849	$\nu$ C-N
16.	917		YC-H
17.	950	953	$\delta$ ring
18.	982		YC <sub>13</sub> -H <sub>15</sub>
19.	1010	1004	YC <sub>1</sub> -H <sub>8</sub>

20.	1033		Yring
21.	1034		$\delta$ C-H
22.	1042	1035	$\gamma$ C <sub>14</sub> -H <sub>16</sub>
23.	1051		$\nu$ ring
24.	1143		$\nu$ C-N
25.	1177	1147	$\delta$ C <sub>1</sub> -H <sub>8</sub>
26.	1195		$\delta$ ring
27.	1249	1202	$\delta$ ring
28.	1264		$\delta$ ring
29.	1299		$\delta$ ring
30.	1367	1371	$\delta$ C <sub>13</sub> -H <sub>5</sub>
31.	1414	1412	$\delta$ C <sub>3</sub> -C <sub>4</sub>
32.	1431		$\delta$ ring
33.	1468		$\delta$ ring
34.	1494	1473	$\delta$ ring
35.	1537		$\delta$ N <sub>17</sub> -C <sub>13</sub>
36.	1599	1538	$\delta$ C <sub>3</sub> -C <sub>4</sub>
37.	1634	1620	$\delta$ C <sub>10</sub> -H <sub>14</sub>
38.	1669	1672	$\delta$ C-H
39.	3188		$\nu$ C <sub>2</sub> -H <sub>9</sub>
40.	3192		$\nu$ C <sub>13</sub> -H <sub>15</sub>
41.	3199	3199	$\nu$ C <sub>10</sub> -H <sub>17</sub>
42.	3204		$\nu$ C-H
43.	3223		$\nu$ C-H
44.	3235		$\nu$ C <sub>14</sub> -H <sub>16</sub>
45.	3239	3238	$\nu$ C-H

In Quinoline the  $\nu$  C-H stretching vibrational frequencies obtained from DFT are 3223 , 3239 , 3192 , 3199  $\text{cm}^{-1}$  and from IR spectrum at 3238 , 3199  $\text{cm}^{-1}$  . The  $\nu$  C-H stretching vibrational frequencies obtained from DFT are 761 , 822 , 527  $\text{cm}^{-1}$  and from IR spectrum at 827  $\text{cm}^{-1}$  . The  $\nu$  ring stretching vibrational frequencies obtained from DFT are 3204 , 1051 , 3235 , 3188 , 490 , 527  $\text{cm}^{-1}$  and from IR spectrum at 466  $\text{cm}^{-1}$  . The  $\nu$  quinoline stretching vibrational frequencies obtained from DFT are 1143 , 853  $\text{cm}^{-1}$  and from IR spectrum at 849  $\text{cm}^{-1}$  . The  $\delta$  C-H bending vibrational frequencies obtained from DFT are 1669 , 1367 , 1177 , 1034  $\text{cm}^{-1}$  and from IR spectrum at 1672 , 1371 , 1147  $\text{cm}^{-1}$  . The  $\delta$  C-H quinoline bending vibrational frequencies obtained from DFT are 950 , 379 , 531  $\text{cm}^{-1}$  and from IR spectrum at 953 , 376  $\text{cm}^{-1}$  . The  $\delta$  ring bending vibrational frequencies obtained from DFT are 1299 , 1468 , 1494 , 628 , 1195  $\text{cm}^{-1}$  and from IR spectrum at 1473 , 628  $\text{cm}^{-1}$  . The  $\delta$  quinoline bending vibrational frequencies obtained from DFT are 1669 , 1634 , 1367  $\text{cm}^{-1}$  and from IR spectrum at 1672 , 1620 , 1371  $\text{cm}^{-1}$  . The  $\delta$  C-N bending vibrational frequencies obtained from DFT are 1537 , 1414  $\text{cm}^{-1}$  and from IR spectrum at 1412  $\text{cm}^{-1}$  . The  $\gamma$  C-H symmetric vibrational

frequencies obtained from DFT are 502 , 917, 1042  $\text{cm}^{-1}$  and from IR spectrum at 508 , 1035  $\text{cm}^{-1}$  .



### 3.3) IR SPECTRA OF 6-NITRO QUINOLINE

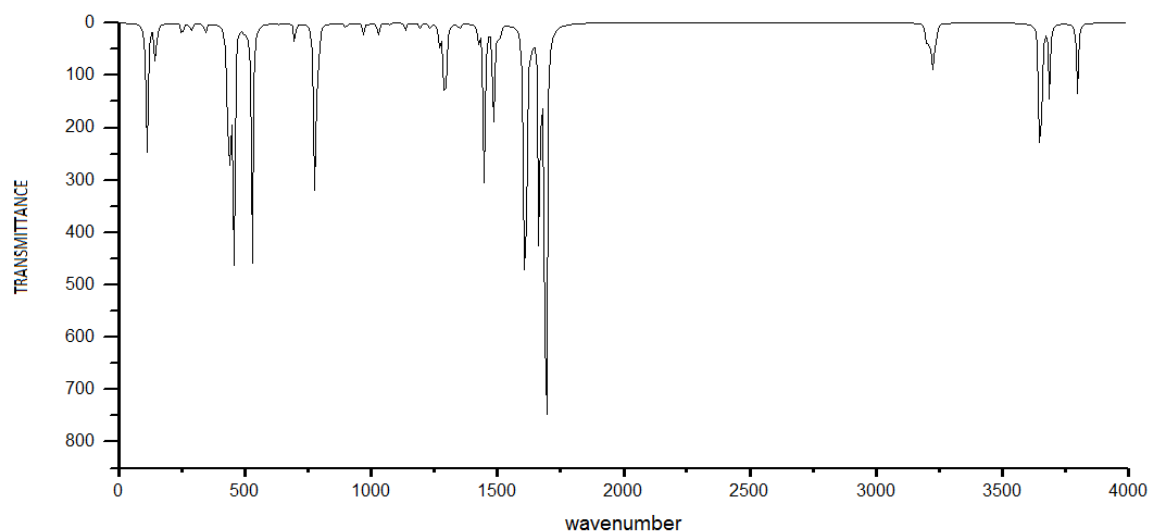
(b) TABLE 3.3.1

SERIAL NO	DFT WAVENUMBER	IR SPECTRA WAVENUMBER	ASSIGNMENT
1.	59		uring
2.	98	95	γring
3.	186		γring
4.	189	190	$\delta\text{N}_{17}\text{-O}_{18}\text{-O}_{19}$
5.	264	267	γring
6.	337		uring
7.	376	379	uring
8.	415		γring
9.	495	496	γring
10.	516		γring
11.	531	534	$\nu\text{H}_{17}\text{-O}_{18}$
12.	542		uring
13.	616	616	$\nu\text{C-H}$
14.	639	638	uring
15.	640		γring
16.	729	728	γring
17.	770	770	uring
18.	773		$\nu\text{N}_{17}\text{-O}_{18}\text{-O}_{19}$
19.	818	819	γring
20.	846	849	$\gamma\text{C-H}$
21.	898	895	$\gamma\text{C}_1\text{-H}_7$
22.	903		uring
23.	963		uring

24.	974	974	$\nu_{\text{C}_5\text{-H}_{10}}$
25.	997	994	$\nu_{\text{C-H}}$
26.	1039		$\nu_{\text{C-H}}$
27.	1046	1046	$\nu_{\text{C}_2\text{-H}_8}$
28.	1050		$\nu_{\text{C}_{12}\text{-C}_{11}}$
29.	1104	1106	$\delta_{\text{C-H}}$
30.	1152	1155	$\delta_{\text{ring}}$
31.	1178	1180	$\delta_{\text{C-H}}$
32.	1244	1240	$\delta_{\text{ring}}$
33.	1262		$\delta_{\text{ring}}$
34.	1273	1275	$\Delta_{\text{N}_{17}\text{O}_{19}\text{-O}_{18}}$
35.	1294	1292	$\delta_{\text{C-H}}$
36.	1301		$\delta_{\text{ring}}$
37.	1391	1374	$\delta_{\text{ring}}$
38.	1428	1420	$\delta_{\text{ring}}$
39.	1444	1442	$\delta_{\text{C-H}}$
40.	1465	1469	$\delta_{\text{C}_{12}\text{-H}_5}$
41.	1493	1491	$\nu_{\text{ring}}$
42.	1538	1542	$\nu_{\text{ring}}$
43.	1602	1606	$\nu_{\text{ring}}$
44.	1638	1641	$\nu_{\text{ring}}$
45.	1669	1666	$\nu_{\text{ring}}$
46.	3202	3204	$\nu_{\text{C}_{12}\text{-H}_5}$
47.	3208		$\nu_{\text{C}_9\text{-H}_{13}}$
48.	3238		$\nu_{\text{C}_5\text{-H}_{10}}$
49.	3239		$\nu_{\text{C}_2\text{-H}_8}$
50.	3242	3242	$\nu_{\text{C-H}}$
51.	3265		$\nu_{\text{C}_1\text{-C}_4}$

In 6-Nitro quinoline the  $\nu$  C-H stretching vibrational frequencies obtained from DFT are 616 , 3202 , 3208  $\text{cm}^{-1}$  and from IR spectrum at 616 , 3204  $\text{cm}^{-1}$ . The 6  $\nu$  ring stretching vibrational frequencies obtained from DFT are 337 , 542 , 639 , 770 , 903 , 963  $\text{cm}^{-1}$  and from IR spectrum at 770  $\text{cm}^{-1}$ . The  $\nu$  N-O<sub>2</sub> Stretching vibrational frequencies obtained from DFT are 773 , 59  $\text{cm}^{-1}$  and from IR spectrum at Nil . The  $\nu$  quinoline stretching vibrational frequencies obtained from DFT are 264 , 1050 , 1493 , 1538 , 1602 , 1638  $\text{cm}^{-1}$  and from IR spectrum at 1641 , 1606 , 1542 , 1491 , 267  $\text{cm}^{-1}$  . The  $\nu$  C-H quinoline stretching vibrational frequencies obtained from DFT are 3238 , 3265 , 3242  $\text{cm}^{-1}$  and from IR spectrum at 3242  $\text{cm}^{-1}$  . The  $\delta$  C-H bending vibrational frequencies obtained from DFT are 1444 , 1294 , 1178  $\text{cm}^{-1}$  and from IR spectrum at 1180 , 1292 , 1442  $\text{cm}^{-1}$  . The 6  $\delta$  ring bending vibrational frequencies obtained from DFT are 376 , 1152 , 1244 , 1262 , 1301 , 1391  $\text{cm}^{-1}$  and from IR spectrum at 1374 , 1240 , 1155 , 379  $\text{cm}^{-1}$  . The  $\gamma$  C-H symmetric vibrational frequencies obtained from DFT are 846

, 898 , 974  $\text{cm}^{-1}$  and from IR spectrum at 849 , 895 , 974  $\text{cm}^{-1}$  . The Y quinoline symmetric vibrational frequencies obtained from DFT are 1046 , 997 , 1039  $\text{cm}^{-1}$  and from IR spectrum at 994 , 1046  $\text{cm}^{-1}$  .



### 3.4 ) IR SPECTRA OF 6-METHYL QUINOLINE

(c) TABLE 3.4.1

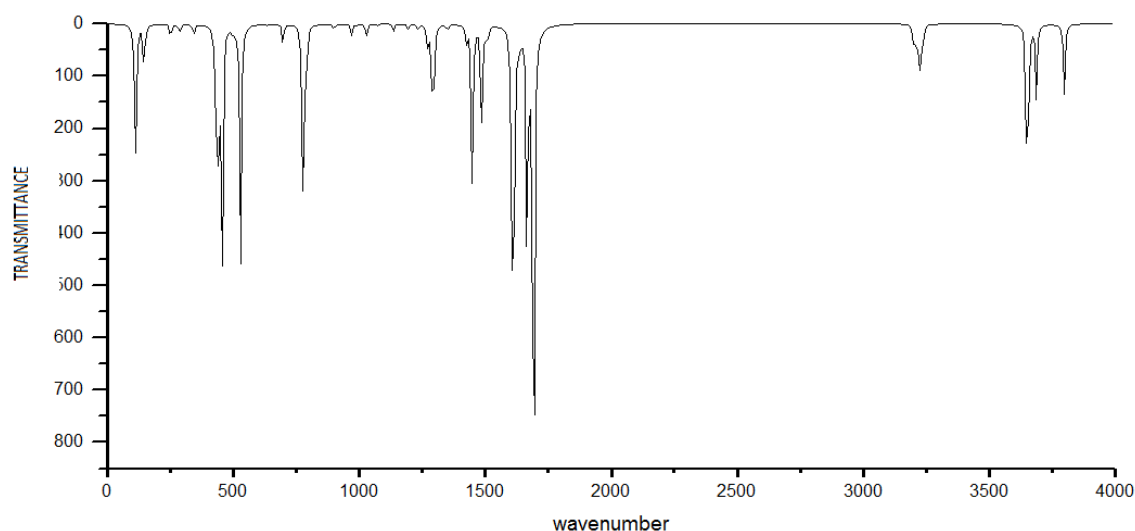
SERIAL NO	DFT WAVENUMBER	IR SPECTRA WAVENUMBER	ASSIGNMENT
1.	98		$\delta\text{C}_{17}\text{-H}_{18}$
2.	129	103	$\nu\text{C-H}$
3.	182	182	$\gamma\text{ring}$
4.	269	267	$\nu\text{C-H}$
5.	292		$\gamma\text{ring}$
6.	417	417	$\gamma\text{ring}$
7.	417		$\delta\text{ring}$
8.	456		$\nu\text{ring}$
9.	501	504	$\gamma\text{ring}$
10.	535	534	$\gamma\text{ring}$
11.	542		$\nu\text{ring}$
12.	632		$\nu\text{ring}$
13.	666	633	$\gamma\text{ring}$
14.	723		$\nu\text{ring}$
15.	772		$\nu\text{ring}$
16.	808	810	$\gamma\text{C-H}$
17.	844	844	$\delta\text{ring}$
18.	877	874	$\gamma\text{C-H}$



19.	907		uring
20.	933	931	$\nu$ C <sub>9</sub> -H <sub>14</sub>
21.	966		$\delta$ ring
22.	980		$\nu$ C <sub>1</sub> -H <sub>7</sub>
23.	1025	982	$\nu$ C <sub>8</sub> -H <sub>6</sub>
24.	1033		$\nu$ C-H
25.	1037		$\nu$ C <sub>17</sub> -H <sub>19</sub>
26.	1051	1038	$\nu$ C <sub>1</sub> -H <sub>7</sub>
27.	1088	1086	$\delta$ ring
28.	1145	1146	$\delta$ ring
29.	1176	1176	$\Delta$ C <sub>13</sub> -H <sub>5</sub>
30.	1211	1210	$\nu$ C-H
31.	1255		$\delta$ C <sub>5</sub> -H <sub>11</sub>
32.	1272		$\delta$ ring
33.	1302		$\delta$ C-H
34.	1373	1370	$\delta$ ring
35.	1395	1387	$\delta$ C-H
36.	1426	1430	$\delta$ ring
37.	1447	1447	$\nu$ C <sub>17</sub> -H <sub>18</sub>
38.	1460		$\delta$ ring
39.	1495	1494	$\delta$ ring
40.	1514		$\delta$ C <sub>17</sub> -H <sub>19</sub>
41.	1521	1521	$\delta$ C <sub>17</sub> -H <sub>20</sub>
42.	1540	1542	uring
43.	1599	1597	uring
44.	1634	1633	$\delta$ C-H
45.	1679	1674	$\delta$ ring
46.	3036	3040	$\nu$ C <sub>17</sub> -H <sub>19</sub>
47.	3106	3114	$\nu$ C <sub>17</sub> -H <sub>18</sub>
48.	3139	3139	$\nu$ C <sub>17</sub> -H <sub>20</sub>
49.	3185		$\nu$ C <sub>9</sub> -H <sub>14</sub>
50.	3192		$\nu$ C <sub>13</sub> -H <sub>15</sub>
51.	3192		$\nu$ C-H
52.	3198	3195	$\nu$ C <sub>1</sub> -H <sub>7</sub>
53.	3233		$\nu$ C-H
54.	3233	3234	$\nu$ C-H

In 6-Methyl quinoline the  $\nu$  C-H stretching vibrational frequencies obtained from DFT are 129 , 269 , 3036  $\text{cm}^{-1}$  and from IR at 103, 267 , 3040  $\text{cm}^{-1}$  . The  $\nu$  ring stretching vibrational frequencies obtained from DFT are 456 , 542 , 632 , 723 , 772 , 907  $\text{cm}^{-1}$  and from IR spectrum at Nil .The  $\nu$  C-H stretching vibrational frequencies obtained from DFT are 1037 , 1051 , 1447  $\text{cm}^{-1}$  and from IR spectrum at 1037, 1447  $\text{cm}^{-1}$  . The  $\nu$  C-H quinoline stretching vibrational frequencies obtained from DFT are 3106 , 3139 , 3198  $\text{cm}^{-1}$  and from IR spectrum at 3114 , 3139 , 3195  $\text{cm}^{-1}$  . The  $\nu$  quinoline stretching vibrational frequencies obtained from

DFT are 3185 , 3192 , 3198 , 3233  $\text{cm}^{-1}$  and from IR .frequencies obtained from DFT are 98 , 1176 , 1255  $\text{cm}^{-1}$  and from IR at 1176  $\text{cm}^{-1}$  . The  $\delta$  ring bending vibrational frequencies obtained from DFT are 417 , 844 , 966 , 1145 , 1272 , 1373  $\text{cm}^{-1}$  and from IR at 1370 , 1146 , 844  $\text{cm}^{-1}$  . The  $\delta$  C-H bending vibrational frequencies obtained from DFT are 1302 , 1514 , 1521  $\text{cm}^{-1}$  and from IR spectrum at 1521  $\text{cm}^{-1}$  . The  $\delta$  C-H quinoline bending vibrational frequencies obtained from DFT are 1395 , 1634 , 1460  $\text{cm}^{-1}$  and from IR spectrum at 1387 , 1633  $\text{cm}^{-1}$  . The  $\delta$  quinoline bending vibrational frequencies obtained from DFT are 1088 , 1426 , 1495 , 1679  $\text{cm}^{-1}$  and from IR spectrum at 1674 , 1494 , 1430 , 1086  $\text{cm}^{-1}$  . The Y C-H symmetric vibrational frequencies obtained from DFT are 933 , 980 , 1025  $\text{cm}^{-1}$  and from IR spectrum at 931 , 982  $\text{cm}^{-1}$  . The Y C-H quinoline symmetric vibrational frequencies obtained from DFT are 417 , 877 , 1033  $\text{cm}^{-1}$  and from IR spectrum at 874 , 417  $\text{cm}^{-1}$  .



### 3.5) QUINOLINE OPTIMIZED GEOMETRY

BOND LENGTH ( $\text{\AA}$ )

(a) TABLE 3.5.1

C <sub>1</sub> -C <sub>2</sub>	1.389	C <sub>4</sub> -C <sub>10</sub>	1.4301	C <sub>13</sub> -C <sub>14</sub>	1.4303
C <sub>1</sub> -C <sub>6</sub>	1.4296	C <sub>4</sub> -C <sub>5</sub>	1.4277	C <sub>13</sub> -H <sub>15</sub>	1.0863
C <sub>1</sub> -H <sub>8</sub>	1.0872	C <sub>5</sub> -N <sub>17</sub>	1.358	C <sub>13</sub> -N <sub>17</sub>	1.3362
C <sub>2</sub> -C <sub>3</sub>	1.4293	C <sub>6</sub> -H <sub>12</sub>	1.0872	C <sub>14</sub> -H <sub>16</sub>	1.0859
C <sub>2</sub> -H <sub>9</sub>	1.0884	H <sub>7</sub> -C <sub>10</sub>	1.088	C <sub>5</sub> -H <sub>11</sub>	1.0858
C <sub>3</sub> -C <sub>4</sub>	1.4412	C <sub>10</sub> -C <sub>14</sub>	1.3881		

## BOND ANGLE ( $^{\circ}$ )

(b) TABLE 3.5.2

C <sub>2</sub> -C <sub>1</sub> -C <sub>6</sub>	120.3	C <sub>3</sub> -C <sub>4</sub> -N <sub>17</sub>	122.1	C <sub>3</sub> -C <sub>10</sub> -C <sub>14</sub>	119.4
C <sub>2</sub> -C <sub>1</sub> -H <sub>8</sub>	120.2	C <sub>5</sub> -C <sub>4</sub> -N <sub>17</sub>	118.5	H <sub>7</sub> -C <sub>10</sub> -C <sub>14</sub>	121.0
C <sub>6</sub> -C <sub>1</sub> -H <sub>8</sub>	119.4	C <sub>4</sub> -C <sub>5</sub> -C <sub>6</sub>	120.2	C <sub>14</sub> -C <sub>13</sub> -H <sub>15</sub>	119.9
C <sub>1</sub> -C <sub>2</sub> -H <sub>9</sub>	120.5	C <sub>4</sub> -C <sub>5</sub> -H <sub>11</sub>	117.7	C <sub>14</sub> -C <sub>13</sub> -N <sub>17</sub>	123.6
C <sub>3</sub> -C <sub>2</sub> -H <sub>9</sub>	119.0	C <sub>6</sub> -C <sub>5</sub> -H <sub>11</sub>	121.9	H <sub>15</sub> -C <sub>13</sub> -N <sub>17</sub>	116.3
C <sub>2</sub> -C <sub>3</sub> -C <sub>4</sub>	119.1	C <sub>1</sub> -C <sub>6</sub> -C <sub>5</sub>	120.4	C <sub>10</sub> -C <sub>14</sub> -C <sub>13</sub>	118.6
C <sub>2</sub> -C <sub>3</sub> -C <sub>10</sub>	123.1	C <sub>1</sub> -C <sub>6</sub> -H <sub>12</sub>	119.4	C <sub>10</sub> -C <sub>14</sub> -H <sub>16</sub>	121.5
C <sub>4</sub> -C <sub>3</sub> -C <sub>10</sub>	117.6	C <sub>5</sub> -C <sub>6</sub> -H <sub>12</sub>	120.0	C <sub>13</sub> -C <sub>14</sub> -H <sub>16</sub>	119.5
C <sub>3</sub> -C <sub>4</sub> -C <sub>5</sub>	119.3	C <sub>3</sub> -C <sub>10</sub> -H <sub>7</sub>	119.5	C <sub>4</sub> -N <sub>17</sub> -C <sub>13</sub>	118.1

The optimized molecular structure of Quinoline was determined by using Gaussian09 program. The bond lengths are C<sub>4</sub>-N<sub>17</sub> 1.358 (Å) , C<sub>13</sub>-N<sub>17</sub> 1.3362 (Å) . Bond angles are C<sub>3</sub>-C<sub>4</sub>-N<sub>17</sub> 122.1 (  $^{\circ}$  ) , C<sub>5</sub>-C<sub>4</sub>- N<sub>17</sub> 118.5 (  $^{\circ}$  ) , C<sub>14</sub>-C<sub>13</sub>-N<sub>17</sub> 123.6 (  $^{\circ}$  ) , H<sub>15</sub>-C<sub>13</sub>-N<sub>17</sub> 116.3 (  $^{\circ}$  ) , C<sub>4</sub>-N<sub>17</sub>-C<sub>13</sub> 118.1 (  $^{\circ}$  )

## 3.6) 6-NITRO QUINOLINE OPTIMIZED GEOMETRY

### BOND LENGTH (Å)

(a) TABLE 3.6.1

C <sub>1</sub> -C <sub>2</sub>	1.3852	C <sub>5</sub> -H <sub>10</sub>	1.0854
C <sub>1</sub> -C <sub>6</sub>	1.4256	C <sub>6</sub> -N <sub>17</sub>	1.4775
C <sub>1</sub> -H <sub>7</sub>	1.0837	C <sub>9</sub> -C <sub>11</sub>	-1.3869
C <sub>2</sub> -C <sub>3</sub>	1.4291	C <sub>9</sub> -H <sub>13</sub>	- 1.0873
C <sub>2</sub> -H <sub>8</sub>	1.085	C <sub>11</sub> -C <sub>12</sub>	-1.431
C <sub>3</sub> -C <sub>4</sub>	1.4424	C <sub>11</sub> -H <sub>14</sub>	1.0854
C <sub>3</sub> -N <sub>16</sub>	1.3825	C <sub>12</sub> -H <sub>15</sub>	1.0878
C <sub>4</sub> -C <sub>5</sub>	1.4232	C <sub>12</sub> -N <sub>16</sub>	1.337
C <sub>4</sub> -C <sub>9</sub>	1.4307	N <sub>17</sub> -O <sub>18</sub>	1.28
C <sub>5</sub> -C <sub>6</sub>	1.3876	N <sub>17</sub> -O <sub>19</sub>	1.2791

### BOND ANGLE ( $^{\circ}$ )

(b) TABLE 3.6.2

C <sub>2</sub> -C <sub>1</sub> -C <sub>6</sub>	118.7	C <sub>4</sub> -C <sub>5</sub> -C <sub>9</sub>	122.7	C <sub>9</sub> -C <sub>11</sub> -H <sub>14</sub>	121.5
C <sub>2</sub> -C <sub>1</sub> -H <sub>7</sub>	122.0	C <sub>4</sub> -C <sub>5</sub> -C <sub>6</sub>	118.9	C <sub>12</sub> -C <sub>11</sub> -H <sub>14</sub>	119.5
C <sub>6</sub> -C <sub>1</sub> -H <sub>7</sub>	119.1	C <sub>4</sub> -C <sub>5</sub> -H <sub>10</sub>	121.1	C <sub>11</sub> -C <sub>12</sub> -H <sub>15</sub>	119.9

C <sub>1</sub> -C <sub>2</sub> -C <sub>3</sub>	120.7	C <sub>6</sub> -C <sub>5</sub> -H <sub>10</sub>	119.9	C <sub>11</sub> -C <sub>12</sub> -N <sub>16</sub>	123.7
C <sub>1</sub> -C <sub>2</sub> -H <sub>8</sub>	121.6	C <sub>1</sub> -C <sub>6</sub> -C <sub>5</sub>	122.6	H <sub>15</sub> -C <sub>12</sub> -N <sub>16</sub>	116.2
C <sub>3</sub> -C <sub>2</sub> -H <sub>8</sub>	117.5	C <sub>1</sub> -C <sub>6</sub> -N <sub>17</sub>	118.6	C <sub>3</sub> -N <sub>16</sub> -C <sub>12</sub>	118.0
C <sub>2</sub> -C <sub>3</sub> -C <sub>4</sub>	119.4	C <sub>5</sub> -C <sub>6</sub> -N <sub>17</sub>	118.7	C <sub>6</sub> -N <sub>17</sub> -O <sub>18</sub>	118.3
C <sub>2</sub> -C <sub>3</sub> -N <sub>16</sub>	118.5	C <sub>4</sub> -C <sub>9</sub> -C <sub>11</sub>	119.1	C <sub>6</sub> -N <sub>17</sub> -O <sub>19</sub>	117.9
C <sub>4</sub> -C <sub>3</sub> -N <sub>16</sub>	122.0	C <sub>4</sub> -C <sub>9</sub> -H <sub>13</sub>	119.6	O <sub>18</sub> -N <sub>17</sub> -O <sub>19</sub>	123.7
C <sub>3</sub> -C <sub>4</sub> -C <sub>5</sub>	119.3	C <sub>11</sub> -C <sub>9</sub> -H <sub>13</sub>	121.1		
C <sub>3</sub> -C <sub>4</sub> -C <sub>9</sub>	117.9	C <sub>9</sub> -C <sub>11</sub> -C <sub>12</sub>	118.9		

The optimized molecular structure of 6-Nitro quinoline was determined by using Gaussian09 program. The bond lengths are C<sub>3</sub>-N<sub>16</sub> 1.3825 (Å) , C<sub>6</sub>-N<sub>17</sub> 1.4775 (Å) , C<sub>12</sub>-N<sub>16</sub> 1.337 (Å) , N<sub>17</sub>-O<sub>18</sub> 1.28 (Å) , N<sub>17</sub>-O<sub>19</sub> 1.2791 (Å) . Bond angles are C<sub>2</sub>-C<sub>3</sub>-N<sub>16</sub> 118.5 (°) , C<sub>4</sub>-C<sub>3</sub>-N<sub>16</sub> 122 (°) , C<sub>1</sub>-C<sub>6</sub>-N<sub>17</sub> 118.6 (°) , C<sub>5</sub>-C<sub>6</sub>-N<sub>17</sub> 118.7 (°) , C<sub>11</sub>-C<sub>12</sub>-N<sub>16</sub> 123.7 (°) , H<sub>15</sub>-C<sub>12</sub>-N<sub>16</sub> 116.2 (°) , C<sub>3</sub>-N<sub>16</sub>-C<sub>12</sub> 118 (°) , C<sub>6</sub>-N<sub>17</sub>-O<sub>18</sub> 118.3 (°) , C<sub>6</sub>-N<sub>17</sub>-O<sub>19</sub> 117.9 (°) , O<sub>18</sub>-N<sub>17</sub>-O<sub>19</sub> 123.7 (°) .

### 3.7) 6-METHYL QUINOLINE OPTIMIZED GEOMETRY

#### BOND LENGTH (Å)

(a) TABLE 3.7.1

C <sub>1</sub> -C <sub>5</sub>	1.4295	C <sub>3</sub> -C <sub>9</sub>	1.4297	C <sub>9</sub> -H <sub>14</sub>	1.089
C <sub>1</sub> -H <sub>7</sub>	1.0881	C <sub>4</sub> -C <sub>5</sub>	1.3889	C <sub>12</sub> -C <sub>13</sub>	1.4362
C <sub>1</sub> -N <sub>16</sub>	1.3369	C <sub>4</sub> -H <sub>10</sub>	1.088	C <sub>12</sub> -C <sub>17</sub>	1.5168
C <sub>2</sub> -C <sub>3</sub>	1.4404	C <sub>5</sub> -H <sub>11</sub>	1.0861	C <sub>13</sub> -H <sub>15</sub>	1.0886
C <sub>2</sub> -C <sub>8</sub>	1.428	H <sub>6</sub> -C <sub>8</sub>	1.086	C <sub>17</sub> -H <sub>18</sub>	1.0988
C <sub>2</sub> -N <sub>16</sub>	1.3839	C <sub>8</sub> -C <sub>13</sub>	1.3867	C <sub>17</sub> -H <sub>19</sub>	1.0988
C <sub>3</sub> -C <sub>4</sub>	1.4294	C <sub>9</sub> -C <sub>12</sub>	1.3912	C <sub>17</sub> -H <sub>20</sub>	1.0956

#### BOND ANGLE (°)

(b) TABLE 3.7.2

C <sub>5</sub> -C <sub>1</sub> -H <sub>7</sub>	120.0	C <sub>1</sub> -C <sub>5</sub> -C <sub>4</sub>	118.9	C <sub>8</sub> -C <sub>13</sub> -C <sub>12</sub>	121.5
C <sub>5</sub> -C <sub>1</sub> -N <sub>16</sub>	123.5	C <sub>1</sub> -C <sub>5</sub> -H <sub>11</sub>	119.5	C <sub>8</sub> -C <sub>13</sub> -H <sub>15</sub>	119.7
H <sub>7</sub> -C <sub>1</sub> -N <sub>16</sub>	116.4	C <sub>4</sub> -C <sub>5</sub> -H <sub>11</sub>	121.4	C <sub>12</sub> -C <sub>13</sub> -H <sub>15</sub>	118.7
C <sub>3</sub> -C <sub>2</sub> -C <sub>8</sub>	118.4	C <sub>2</sub> -C <sub>8</sub> -H <sub>16</sub>	117.7	C <sub>1</sub> -N <sub>16</sub> -C <sub>2</sub>	118.0
C <sub>3</sub> -C <sub>2</sub> -C <sub>8</sub>	122.3	C <sub>2</sub> -C <sub>8</sub> -C <sub>13</sub>	120.3	C <sub>12</sub> -C <sub>17</sub> -H <sub>18</sub>	111.1
C <sub>8</sub> -C <sub>2</sub> -N <sub>16</sub>	118.8	H <sub>6</sub> -C <sub>8</sub> -C <sub>13</sub>	121.8	C <sub>12</sub> -C <sub>17</sub> -H <sub>19</sub>	111.1
C <sub>2</sub> -C <sub>3</sub> -C <sub>4</sub>	117.5	C <sub>3</sub> -C <sub>9</sub> -C <sub>12</sub>	121.3	C <sub>12</sub> -C <sub>17</sub> -H <sub>20</sub>	111.6
C <sub>2</sub> -C <sub>3</sub> -C <sub>9</sub>	119.3	C <sub>3</sub> -C <sub>9</sub> -H <sub>14</sub>	118.6	H <sub>18</sub> -C <sub>17</sub> -C <sub>19</sub>	107.0

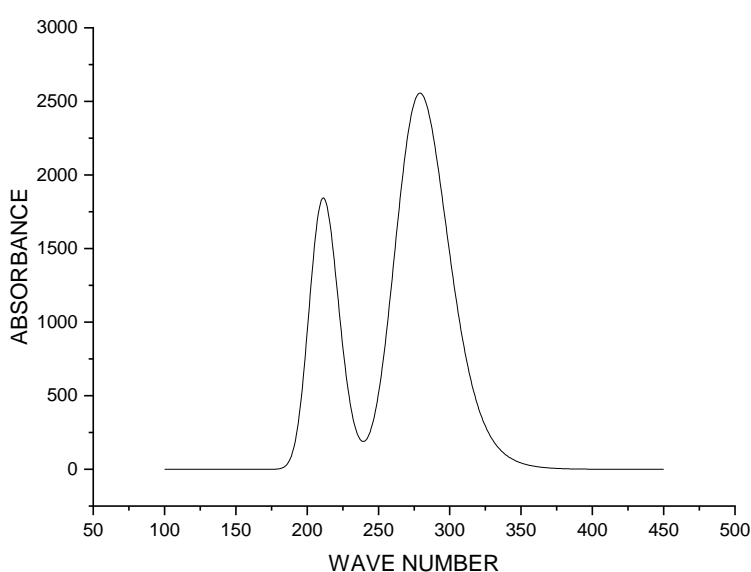
C <sub>4</sub> -C <sub>3</sub> -C <sub>9</sub>	123.1	C <sub>12</sub> -C <sub>9</sub> -H <sub>14</sub>	119.9	H <sub>18</sub> -C <sub>17</sub> -H <sub>20</sub>	107.8
C <sub>3</sub> -C <sub>4</sub> -C <sub>5</sub>	119.4	C <sub>9</sub> -C <sub>12</sub> -C <sub>13</sub>	118.6	H <sub>19</sub> -C <sub>17</sub> -H <sub>20</sub>	107.8
C <sub>3</sub> -C <sub>4</sub> -H <sub>10</sub>	119.5	C <sub>9</sub> -C <sub>12</sub> -C <sub>17</sub>	121.7		
C <sub>5</sub> -C <sub>4</sub> -H <sub>10</sub>	120.9	C <sub>13</sub> -C <sub>12</sub> -C <sub>17</sub>	119.5		

The optimized molecular structure of 6-Methyl quinoline was determined by using Gaussian09 program. The bond lengths are C<sub>1</sub>-N<sub>16</sub> 1.3369 (Å) , C<sub>2</sub>-N<sub>16</sub> 1.3839 (Å) . Bond angles are C<sub>5</sub>-C<sub>1</sub>-N<sub>16</sub> 123.5 (°) , H<sub>7</sub>-C<sub>1</sub>-N<sub>16</sub> 116.4 (°) , C<sub>8</sub>-C<sub>2</sub>-N<sub>16</sub> 118.8 (°) , C<sub>1</sub>-N<sub>16</sub>-C<sub>2</sub> 118 (°)

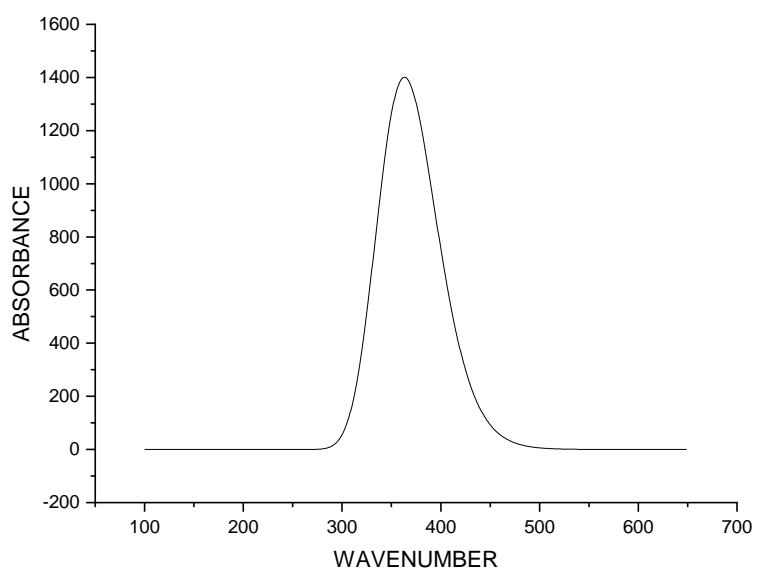
### 3.8) UV-VIS SPECTRA

The UV-vis spectra for the compounds in ethanol solvent were calculated by TDSCF/B3LYP/SDD level of calculation . The obtained theoretical UV-vis absorption spectra were presented in figures. As can be seen the position of the absorption bands is influenced by the structure of compounds. Quinoline shows two absorption bands at 279.9832 and 212.09778. The substitution of Nitro group resulted in the shift of the absorption peak to 366.1721 whereas the substitution of Methyl group resulted in a peak at 282.61596 .

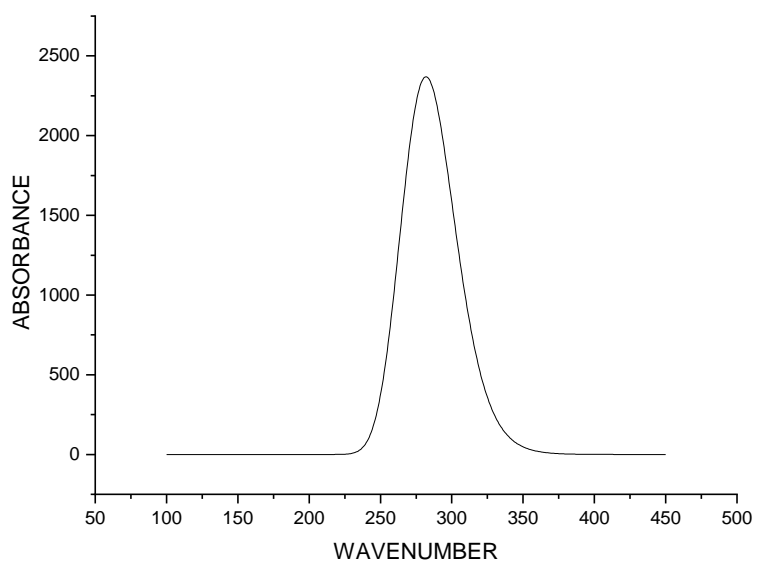
#### 3.8.1 UV SPECTRA OF QUINOLINE



### 3.8.2) UV SPECTRA OF 6-NITRO QUINOLINE



### 3.8.3) 6-METHYL QUINOLINE



### 3.9) DIPOLE MOMENT & FIRST HYPER POLARIZABILITY

All symmetric molecules have zero dipole moment. The dipole moment of a molecule signifies the deviation from symmetry of a molecule. The Quinoline molecule has a dipole moment of 2.5468 D, 6-Methyl Quinoline has 2.792 D and 6-Nitro quinoline has 5.264 D.

In the presence of an applied electric field, the energy of a system is a function of the electric field. First hyperpolarizability is a third rank tensor that can be described by a  $3 \times 3 \times 3$  matrix. The 27 components of the 3D matrix can be reduced to 10 components due to the Kleinman symmetry. The components of  $\beta$  are defined as the coefficients in the Taylor series expansion of the energy in the external electric field. When the electric field is weak and homogeneous, this expansion becomes

$$E = E_0 - \sum_i \mu_i F^i - \frac{1}{2} \sum_{ij} \alpha_{ij} F^i F^j - \frac{1}{6} \sum_{ijk} \beta_{ijk} F^i F^j F^k - \frac{1}{24} \sum_{ijkl} \gamma_{ijkl} F^i F^j F^k F^l + \dots$$

where  $E_0$  is the energy of the unperturbed molecule,  $F^i$  is the field at the origin,  $\mu_i$ ,  $\alpha_{ij}$ ,  $\beta_{ijk}$  and  $\gamma_{ijkl}$  are the components of dipole moment, polarizability, the first hyperpolarizability, and second hyperpolarizability, respectively.

$$\beta_0 = (\beta_x^2 + \beta_y^2 + \beta_z^2)^{1/2}$$

where,

$$\beta_x = \beta_{xxx} + \beta_{xyy} + \beta_{xzz}$$

$$\beta_y = \beta_{yyy} + \beta_{xxy} + \beta_{yzz}$$

$$\beta_z = \beta_{zzz} + \beta_{xxz} + \beta_{yyz}$$

Urea is considered as a standard NLO material because it has the advantage of corresponding to a molecule that contains classical organic atoms and both single and double bonds. Its hyperpolarizability is  $0.13 \times 10^{-30}$  esu.

In the case of Quinoline, the  $\beta_0$  was calculated to be  $1.4 \times 10^{-30}$  esu. With the substitution of Methyl group the value was decreased to  $1.12 \times 10^{-30}$  esu and there was a ten times increase in the value with the substitution of Nitro group in the same position as that of the Methyl group. Thus it is seen that the substitution of a strong electron acceptor group increases the first hyperpolarizability value. These values of hyperpolarizability shows molecule with large dipole moment exhibit large hyperpolarizability values.

## CHAPTER 4

### CONCLUSION

Quinoline compounds widely used in the manufacture of dyes, production of other speciality chemicals and as a solvent for resins and terpenes. The theoretical and experimental comparative studies of these compounds are important to understand the nature, property, and applicability.

Quinoline , 6-Methyl Quinoline and 6-Nitro Quinoline were theoretically studied using Gaussian09 program. The geometry optimization of the title compounds were carried out using DFT using B3LYP/SDD. The donor and acceptor substitution effect on the vibrational spectra of the compounds were studied. The change in the geometrical parameters with substitution also were studied. The HOMO-LUMO energy gap and the UV-VIS spectral studies on the compound proves these compounds have potential to be used in OLED devices, as they show absorption in the UV region. As the  $\beta$  value is related to the second order susceptibility the calculated dipole moment and first hyperpolarizability values shows these compounds could be used in NLO devices particularly for second harmonic generation. The molecular electrostatic potential plot of these molecules shows the electronegative and electropositive sites , which is crucial for substrate binding.

### FIELDS OF APPLICATION

- OLED - Organic Light Emitting Diode
- Non Linear optical material

### FUTURE STUDIES

- Raman spectra and SERS studies can be performed
- Photoluminescent spectral studies
- Molecular docking studies would reveal the behaviour of these compounds against pathogens.
- Second hyperpolarizability calculations could disclose the third order susceptibility



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