



23127161

QP CODE: 23127161

Reg No :

Name :

**B.COM DEGREE (CBCS) REGULAR / IMPROVEMENT / REAPPEARANCE
EXAMINATIONS, OCTOBER 2023**

Third Semester

Core Course - CO3CRT09 - FINANCIAL MARKETS AND OPERATIONS

(Common to all B.Com Degree Programmes)

2017 Admission Onwards

71EE76E5

Time: 3 Hours

Max. Marks : 80

Instructions to Private candidates only: This question paper contains **two sections**. Answer **SECTION I** questions in the answer-book provided. **SECTION II**, Internal examination questions must be answered in the question paper itself. Follow the detailed instructions given under **SECTION II**

Part A

Answer any **ten** questions.

Each question carries **2** marks.

1. Differentiate between savings and investment.
2. What is meant by 'Forfeiting'?
3. What do you mean by SDL? Explain with example.
4. What is Joint Underwriting and Standby Underwriting?
5. What do you mean by Closed Book Building?
6. What is 'Online Trading'?
7. What do you mean by 'Marking to Market'?
8. Explain 'Nifty Fifty'.
9. State the eligibility requirements of a 'Mutual Fund Sponsor'.
10. What is NAV?
11. What are Forward Rate Currency Contracts?
12. Define Swaps Contracts.

(10×2=20)



Part B

Answer any **six** questions.

Each question carries **5** marks.

13. Write a brief evaluation of Indian financial system.
14. Explain briefly about the important regulatory authorities in Indian financial system.
15. What are the different types of private placement?
16. "Indian stock market is professionalized by NSE of India". Comment.
17. Write a note on different type of speculators.
18. Make a note on ETFs with appropriate examples.
19. "Mutual funds are good investment opportunity for inexperienced investors". Explain.
20. Briefly elaborate the use of margin money in case of futures contracts.
21. What is meant by moneyness of options contracts? Elaborate different types of moneyness in an options market.

(6×5=30)

Part C

Answer any **two** questions.

Each question carries **15** marks.

22. "Government securities play an essential role in developing and regulating Indian capital market". Analyse the statement with regard to different types of government securities.
23. Write a note on the functions of merchant banker in public issue.
24. Explain in detail about the FPI and FDI in India.
25. What are the different types of mutual funds?

(2×15=30)