



QP CODE: 22100580

Reg No :

Name :

**B.COM DEGREE (CBCS) REGULAR / REAPPEARANCE EXAMINATIONS,
APRIL 2022**

Third Semester

Core Course - CO3CRT09 - FINANCIAL MARKETS AND OPERATIONS

(Common to all B.Com Degree Programmes)

For Regular Candidates : 2017 Admission Onwards

For Private Candidates : 2020 Admission Only

D96794BE

Time: 3 Hours

Max. Marks : 80

Instructions to Private candidates only: This question paper contains two sections. Answer **SECTION I** questions in the answer-book provided. **SECTION II**, Internal examination questions must be answered in the question paper itself. Follow the detailed instructions given under **SECTION II**

Part A

Answer any **ten** questions.

Each question carries **2** marks.

1. What is meant by 'Financial Deepening'? How is it different from 'Financial Broadening'?
2. What is meant by differential voting right? Illustrate with an example.
3. What is bankers acceptance? Explain briefly.
4. Describe New Issue Market?
5. Explain QIB and QIP?
6. Give a brief description on (a) NSE; (b) MSEI
7. What is 'Online Trading'?
8. What is Margin Trading?
9. Who is a 'Sponsor', in mutual fund industry?
10. Explain any two advantages of mutual fund investment.





11. What is meant by derivative?
12. Explain the term 'Commodity Futures Contracts'?

(10×2=20)

Part B

*Answer any **six** questions.*

*Each question carries **5** marks.*

13. Explain the role investment in financial system.
14. Describe the constitution of management of SEBI.
15. Make a note on innovative financial instruments.
16. Describe the concept of dematerialisation of securities.
17. Make a comparative note on 'Sensex' and 'Nifty'.
18. Explain money market mutual funds.
19. Mention the characteristics of 'Exchange Trade Funds'.
20. Briefly explain various characterisitcs of Forward Contracts.
21. "All types of options contracts are excercisable only at the time of maturity." Crittically analyse the statement.

(6×5=30)

Part C

*Answer any **two** questions.*

*Each question carries **15** marks.*

22. "The Indian economic reforms of 1991 paved way for development and growth of Indian financial system". Give an overview on the history of development and growth of Indian financial system.
23. How is capital collected through IPO?
24. Elucidate the role and functions of Stock Exchange.
25. Explain the role of mutual funds in India.

(2×15=30)

